



**LGMSD 2021/22**

Lwengo District

(Vote Code: 599)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	63%
Education Minimum Conditions	100%
Health Minimum Conditions	90%
Water & Environment Minimum Conditions	55%
Micro-scale Irrigation Minimum Conditions	100%
Crosscutting Performance Measures	70%
Educational Performance Measures	51%
Health Performance Measures	57%
Water & Environment Performance Measures	64%
Micro-scale Irrigation Performance Measures	83%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	Service Delivery Outcomes of DDEG investments  Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>• If so: Score 4 or else 0</li> </ul>	<p>There was evidence that the DDEG funded investment projects implemented in the previous FY were functional</p> <p>1. Pavers were laid in front of the office block at UGX 25,886,140 implemented through "Force-on-Account" by the District and the walkways were paved and were being used.</p> <p>2. The retainer wall at Kakoma H/C-III was constructed at UGX 47,740,000 it was completed on 25th May 2021 (Engineers Certificate No.1 -which was also a completion Certificate)</p>	4
2	Service Delivery Performance  Maximum 6 points on this performance measure	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> <li>o by more than 10%: Score 3</li> <li>o 5-10% increase: Score 2</li> <li>o Below 5 % Score 0</li> </ul>	<p>LLGs will be scored in future when their performance assessments have been conducted</p>	0
2	Service Delivery Performance  Maximum 6 points on this performance measure	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> <li>• If 100% the projects were completed : Score 3</li> <li>• If 80-99%: Score 2</li> <li>• If below 80%: 0</li> </ul>	<p>There was evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract</p> <p>There were 2 infrastructure projects/activities and all were completed <math>2/2 \times 100 = 100\%</math></p> <p>1-Stone Pitching-Masonry Wall at Kakoma H/C-III was constructed by Tripah Engineering Services Ltd was completed and paid on 4th Jun. 2021 ; Voucher No. 36749358 of 4th Jun. 2021 UGX 43,046,915</p> <p>2. The walk-way at the District Headquarters were paved and complete; Voucher No.36216579 of 11th May 2021 UGX 14,295,000 (implemented through Force-on-Account) and Voucher No. 37223470 of 23rd Jun 2021 UGX 11,591,140 (completed in May 2021)</p>	3

3	Investment Performance	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:	There was no evidence that the LG budgeted and spent all the DDEG funds for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines (according to DDEG allocation criteria on Page 16 of the Implementation Guidelines 2020/21):	0
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Maximum 4 points on this performance measure

Score 2 or else score 0.

Investment Servicing projects amounted to UGX 25,560,373 representing 22% instead of 10% limit

Performance improvement amounted to UGX 14,160,323 representing 12% instead of 10%

Infrastructure Projects amounted to only UGX 75,628,000 representing 66% instead of 80%

3	Investment Performance	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,	There was only one DDEG funded project under the Health sector.	2
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Maximum 4 points on this performance measure

score 2 or else score 0

There was evidence that the variations in the contract price for the DDEG funded infrastructure project were within +/-20% of the LG Engineers estimates as shown below:

Construction of a retaining wall at Kakoma HCIII (DDEG Funded)

Engineer's Estimate: 46,550,000

Works Contract sum of UGX 47,470,000 signed on 15th March. 2021

The Percentage Variation was 1.97%

**Performance Reporting and Performance Improvement**

4	Accuracy of reported information	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,	There was evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate. All the 3 sampled LLGs, had all the stipulated staff as per the staff structure. Lwengo Town Council had a substantive Principal Township Officer in place, while Kisekka and Lwengo S/Cs had a Senior Assistant Secretary. A scan through the staff attendance register of 05/05/2021, and TPC minutes of 01/03/2021 proved that the staff on the staff list were actually place and working.	2
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Maximum 4 points on this Performance Measure

score 2 or else score 0

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> <li>• If 100 % in place: Score 2, else score 0.</li> </ul> <p><b>Note: if there are no reports produced to review: Score 0</b></p>	<p>There was evidence that the infrastructure constructed using the DDEG are in place:</p> <p>There were 2 infrastructure projects/activities and all were completed 2/2 x100 =100%</p> <p>1-Stone Pitching-Masonry Wall at Kakoma H/C-III was constructed by Tripah Engineering Services Ltd was completed and paid on 4th Jun. 2021 ; Voucher No. 36749358 of 4th Jun. 2021 UGX 43,046,915 (Pg. 129 of Fourth Quarter Report)</p> <p>2. The walk-way at the District Headquarters were paved and complete; Voucher No.36216579 of 11th May 2021 UGX 14,295,000 (implemented through Force-on-Account) and Voucher No. 37223470 of 23rd Jun 2021 UGX 11,591,140 (completed in May 2021) Pg. 38 of Fourth Quarter Report</p>	2
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### Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG consolidated and submitted the staffing requirements for financial year 2022/2023 by 30th September 2021, according to the Chief Administrative letter dated 17/08/2021, which was received by MOPS on 17/09/21.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was Evidence that the District had conducted a tracking and analysis of staff attendance as guided by Ministry of Public Service CSI. There were 12 tracking and staff attendance reports attached onto 4 quarters that were submitted to MOPS. for instance quarter one was received by MOPS on the 16/11/2020 as CAO's letter dated 16/11/2020.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0</p>	<p>There was evidence that the HoDs were appraised during the previous FY. Out of the 9 HODs provided for in the structure, 6 were substantively filled and dully appraised. Examples were as below:</p> <p>Serwadda Paul, CFO, was appraised on 07/10/2021;</p> <p>Barigye Nicholas, DP, was appraised on 25/08/2021;</p> <p>Mazinga Joseph, DCDO, was appraised on 29/09/2021; and</p> <p>Kagumire Wilson, DCO, was appraised on 08/08/2021.</p>	1

7	Performance management  Maximum 5 points on this Performance Measure	ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:  Score 1 or else 0	The District had not implemented administrative rewards and sanctions on time as provided for in the guidelines. This was because there was no case that required action.	1
7	Performance management  Maximum 5 points on this Performance Measure	iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.  Score 1 or else 0	The District had established a Consultative Committee (CC) for staff grievance redress which was functional. It had 5 members, Mugumya Wilfred, as chair, Sekabila Peters, Mulumba Sumayyah, Mutemba Godfrey, and Lugalambi Fererio.	1
8	Payroll management  Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:  Score 1.	There was evidence that 2 out of the 43 staff recruited during the previous FY had not accessed the salary payroll within 2 months after appointment as indicated below:  Kagambagye Ronald, recruited 13/08/2020, accessed 28/12/2020 and  Semakula David, recruited 28/07/2020, accessed 28/10/2020.	0
9	Pension Payroll management  Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:  Score 1.	Evidence available showed that 4 out of the 15 staff that retired during the previous FY had not accessed the pension payroll within two months after retirement as indicated below:  1. Kaketo John Paul, retired 02/08/2020, accessed 28/05/2021,  2. Lubega Mathias, retired 02/08/2020, accessed 28/03/2021,  3. Bukenya Stephen, retired 23/03/2020, accessed 28/04/2021, and  4. Gizamba Fredrick, retired 01/09/2020, accessed 28/10/2021.	0

#### Management, Monitoring and Supervision of Services.

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery  Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:  Score 2 or else score 0	There was evidence that direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget of the FY  The district received and disbursed funds as follows:  Q-1 Funds Received 14th Jul. 2020  Disbursed on 11th Aug. 2020 Voucher No. 31027191 UGX 73,642,352 as below:  Kyzanga Town Council UGX 7,687,381	2
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Lwengo Town council UGX 7,352,253  
Kyazanga Subcounty UGX 8,660,130  
Kissekka Subcounty UGX 11,269,464  
Ndagwe Subcounty UGX 9,371,766  
Malongo Subcounty UGX 9,337,878  
Kkingo Subcounty UGX 8,592,354  
Lwengo Subcounty UGX 11,371,126  
Total Quarter-1 UGX 73,642,352

Q -2 Funds Received 8th Oct. 2020

Disbursed on 29th Oct. 2020 Voucher No. 32577344  
UGX 73,642,351 (as below):

Kyzanga Town Council UGX 7,687,381  
Lwengo Town council UGX 7,352,253  
Kyazanga Subcounty UGX 8,660,130  
Kissekka Subcounty UGX 11,269,464  
Ndagwe Subcounty UGX 9,371,766  
Malongo Subcounty UGX 9,337,878  
Kkingo Subcounty UGX 8,592,354  
Lwengo Subcounty UGX 11,371,126  
Total Quarter-2 UGX 73,642,352

Q-3 Funds Received 11th Jan. 2021

Kyzanga Town Council UGX 7,687,381  
Lwengo Town council UGX 7,352,253  
Kyazanga Subcounty UGX 8,660,130  
Kissekka Subcounty UGX 11,269,464  
Ndagwe Subcounty UGX 9,371,766  
Malongo Subcounty UGX 9,337,878  
Kkingo Subcounty UGX 8,592,354  
Lwengo Subcounty UGX 11,371,126  
Total Quarter-1 UGX 73,642,352

10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: (within 5 working days from the date of receipt of expenditure limits from MoFPED):</p> <p>Score: 2 or else score 0</p>	<p>There was no evidence that the district made timely warranting of direct DDEG transfers to LLGS; for all voucher sampled, the warranting took more than 5 working days</p> <p>Q-1 Cash Limits were received 14th Jul. 2020 and disbursed to LLGs on 11th Aug. 2020</p> <p>Voucher No. 31027191 UGX 172,559,428 (21 working days)</p> <p>Q-2 Cash Limits were received 8th Oct. 2020 and disbursed to LLGs on 29th Oct. 2020 Voucher No. 32577344 UGX 73,642,351 (19 working days)</p> <p>Q-3 Cash Limits were received 11th Jan. 2021 and disbursed to LLGs on 4th Feb. 2021 Voucher No. 34295085 UGX 73,642,351 (24 days)</p> <p>Q-4 Cash Limits were received 6th Apr. 2021 but DDEG had been received within the first 3-Quarters</p>	0
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10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the LG communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter; the Notice Boards only had the current information and no record of previous information placed on the Notice Boards was available for verification. The website <a href="https://lwengo.go.ug/">https://lwengo.go.ug/</a> never had information on receipt or disbursement of grants</p>	0
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11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District/Municipality supervised and mentored all LLGs in the District on quarterly basis:</p> <p>Q-1 Mentorships and supervision was conducted for 10 days ended 7th Oct. 2020</p> <p>A report prepared by Mr. Barigye Nicholas (The District Planner) dated 7th Oct. 2020 is in file</p> <p>Q-2 Mentorships and supervision was conducted for 10 days ended 19th Dec. 2020</p> <p>A report prepared by Mr. Barigye Nicholas (The District Planner) dated 19th Dec. 2020 is in file</p> <p>Q-3 Mentorships and supervision was conducted for 10-days ended 7th Mar. 2021</p> <p>A report prepared by Mr. Nabajja Ruth (Senior Accountant) and reviewed by Mr. Barigye Nicholas (The District Planner) dated 7th Mar. 2021 was in file</p> <p>Q-4 Mentorships and supervision was conducted for 10 days ended 17th Jun. 2021</p> <p>A report prepared by Mr. Nabajja Ruth (Senior Accountant) and reviewed by Mr. Barigye Nicholas (The District Planner) dated 17th Jun. 2021 was in file</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC.</p> <p>TPC minutes reviewed included sittings of 23rd May 2021, 13th Apr. 2021, 9th Mar. 2021, 1st Feb. 2021 and 19th Jan. 2021, 16th Dec. 2020</p>	0

**Investment Management**



12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p>	<p>There was evidence that the district keeps and updated register in the right formats on Pages 167/8 of The Accounting Manual, 2007.</p> <p>The register was last updated on 23rd Oct. 2021</p> <p>The summary is as follows:</p> <ol style="list-style-type: none"> <li>1. Land UGX 60,000,000 Pg. 2</li> <li>2. Buildings (Non-Residential) UGX. 1,269,206,128 Pg.8</li> <li>3. Motor Vehicle UGX 1,420,335,060 Pg.58</li> <li>4. Heavy Plants UGX. 2,975,000,000 Pg.141</li> <li>5. Motor Cycles UGX 487,000,000 Pg. 266</li> <li>6. ICT Equipment UGX. 232,495,000 Pg. 326</li> <li>7. Office Equipment UGX 65,183,000 Pg. 400</li> <li>8. Furniture UGX 112,074,385</li> <li>9. Machinery UGX 89,900,000 Pg. 292</li> <li>10. Medical Equipment UGX 29,000,000 Pg.408</li> </ol>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>There was no evidence that the district used the Board of Survey Report to make Asset Management decisions</p> <p>The district produced a Board of Survey Report dated 30th Aug. 2021 signed by The CAO, Mr. Muramira Aggrey. It had 27 recommendations on Pg.56 and 57 out of which two had been implemented;</p> <p>The following decisions on asset management decisions had already taken place:</p> <ol style="list-style-type: none"> <li>i. During the FY, the district procured two Motor Cycles on 27th Aug. 2021, Voucher No. 38498322 from Nile Fishing Company Ltd at UGX 28,000,000 as a result of BoS recommendation No.16 on Page 56 (3 days before the BoS report was issued)</li> <li>ii. Subsequently, the district successfully lobbied for ambulance from Malteser International for the Kyazanga Health Center-IV (on un-specified date), it can not directly be associated with recommendation No.22 on Page 57</li> </ol>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>There was evidence that District had a functional physical planning committee in place which had submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD during the previous FY</p> <p>The Physical Planning Committee is in place; Appointment Letters Ref. CR/D/218/1 of 6th Jul. 2014 and another of 11th Oct. 2018 Ref. CR/D/218/1 were verified; all sub-County Chiefs were co-opted making altogether 23 members.</p> <p>The committee meets at least once a quarter and the following minutes were verified:</p> <p>-Meeting held on 18th Aug. 2020 -submitted to MoLHUD -Masaka Zonal Office on 12th Nov. 2020</p> <p>-Meeting on 8th Dec. 2020 -submitted to MoLHUD - Masaka Zonal Office on 4th Feb. 2021</p> <p>-Meeting on 10th Mar. 2021 -submitted to MoLHUD - Masaka Zonal Office on 29th Mar. 2021</p> <p>-Meeting on 1st Jun. 2021 -submitted to MoLHUD - Masaka Zonal Office on 29th Jul. 2021</p> <p>The Physical Development Plan had not been produced yet</p> <p>The Register of the building plan was in place and so far 40 applications have been received</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the district has conducted desk appraisals for projects implemented during the year, and that they are derived from the DDP; sampled projects included the following:</p> <p>Construction of Class Room Blocks at Kalagala P/S, Bijaaba P/S and Nakalinzi P/S are derived from Page 157 of the DDP was discussed by TPC on 11th Aug. 2020 Minute No. 05/LWG/08/2020 on Page 4</p> <p>Construction of 5-Stance Pit Latrines at Lyakibirizi P/S, Kanyogoga P/S and at St Atanas P/S Page 158 of the DDP was discussed by TPC on 11th Aug. 2020 Minute No. 05/LWG/08/2020 on Page 4</p> <p>Appraisal schedules were attached to the minutes</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability for projects implemented in the year</p> <p>The district however, conducted social screening for all DDEG projects. A report prepared by Mazinga Joseph (The DCDO) dated 14th Apr. 2021 was in place</p> <p>A report for the Environmental &amp; Social Screening for the stone pitching wall at Kakoma H/C-III prepared by Mutumba Godfrey (The Sen. Environmental Officer) dated 21st Jul. 2020 is available in file</p> <p>Environmental Screening report for investment and infrastructure projects in Health Sector dated 30th Nov. 2020 was available in the file; prepared and signed by Mutumba Godfrey (The Sen. Environmental Officer) and Mazinga Joseph (The DCDO)</p> <p>A Report on Technical Feasibility study on all District Development projects was prepared by the District Engineer Mr. Kaweesi Robert on 14th Oct. 2019 (during the planning period for 2020/2021)</p> <p>And a separate report had been prepared by the District Engineer for projects in the Education Sector on the same date (un-referenced reports)</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was evidence that project profiles with costing had been developed and discussed by TPC</p> <p>All profiles and attached as appendices to the DDP from Page 140 to 168; sampled projects included the following:</p> <p>Construction of Class Room Blocks at Kalagala P/S, Bijaaba P/S and Nakalinzi P/S are derived from Page 157 of the DDP was discussed by TPC on 11th Aug. 2020 Minute No. 05/LWG/08/2020 on Page 4</p> <p>Construction of 5-Stance Pit Latrines at Lyakibirizi P/S, Kanyogoga P/S and at St Atanas P/S Page 158 of the DDP was discussed by TPC on 11th Aug. 2020 Minute No. 05/LWG/08/2020 on Page 4</p>	1

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was NO Evidence that LG had screened projects funded by DDEG for current FY (2021/2022 FY) for environmental and social impacts and mitigation measures put in place where required as completed Environmental and Social Screening Forms (ESSFs) for projects funded by DDEG for current FY signed by LG Environmental Officer and CDO were NOT available. Additionally, Costed ESMPs for projects funded by DDEG for current FY prepared after conducting Environmental, Social and Climate Change Screening and Environmental and Social Impact Assessments (ESIAs) were also NOT available.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan as follows;</p> <p>In the Education sector, the projects included:</p> <p>1-Construction of a 2 classroom Block Office and Store at Kikoba Primary School;</p> <p>2-Construction of a 5 stance Lined VIP latrine at Kasozi Primary School.</p> <p>In the Health sector, there was only one project which was; the Construction of 4-unit staff Houses at Kyazanga HCIV.</p> <p>In the water sector, there was only one project which was;Extension of Clean Piped Water to Health Facilities.</p> <p>There were no Irrigation Projects under DDEG Funding.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>There was evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee on 20th October, 2021 under MIN 00002/DCC/21-22.</p>	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:</p> <p>Score 1 or else 0</p>	<p>In the Education sector; there was evidence of an Appointment Letter of the following Project Implementation Team by the CAO for sampled Projects below:</p> <p>Appointment Letter dated 14th March, 2019 for the Construction Mbirizi Seed Secondary School</p> <p>Contract Manager: Ms Ankunda Doreen</p> <p>Project Manager: Mr. Kaweesi Robert</p>	1

Senior Environmental Officer: Mr. Mutemba Godfrey

Community Development Officer: Mazinga Joseph

Town Clerk Lwengo TC: Ingabire Juliet

The appointment Letter dated 14th July 2020 for the construction of a classroom block with an office at Bijaba SDA Primary School and Construction of a classroom block with an office at Kalagala Primary School appointed the following PIT members:

Contract Manager: Ms Ankunda Doreen

Project Manager: Mr Kaweesi Robert

Environmental Officer: Mr Mutemba Godfrey

Community Development Officer: Mazinga Joseph

Chairperson SMC: Bisaso Robert

SAS Kyazanga: Ssendagala John

Head Teacher: Kebirungi Loyce

In the health sector; there was evidence of an appointment letter of the following Project Implementation Team by the CAO for the projects below:

The following PIT members were appointed in the letter signed by the CAO and dated 15th September 2019 for the Upgrade of Kakoma HCII to HCIII.

Contract Manager: Dr Mutyogoma Joseph

Project Manager: Mr Kaweesi Robert

Senior Environmental Officer: Mr Mutemba Godfrey

Community Development Officer: Mazinga Joseph

SAS Kyazanga: Ssendagala John

Chairperson HMC: Tamale Fred

The following PIT members were appointed in the letter signed by the CAO and dated 14th July 2020 for the Construction Stone Masonry Retaining Wall in Kakoma HCIII

Contract Manager: Dr Kafube Moses

Project Manager: Mr Kaweesi Robert

Senior Environmental Officer: Mr Mutemba Godfrey

Community Development Officer: Mazinga Joseph

SAS Kyazanga: Ssendagala John

Member HMC: Kalemera Henry

In the water sector; there was evidence of an appointment letter of the following Project Implementation Team for all water projects the CAO dated 15th September 2019 and signed by the CAO.

Project Supervisor: Mr. Lugalabi Frerrio

Project Manager: Mr Namuntinda Charles

Environmental Officer: Mr Mutemba Godfrey

Community Development Officer: Bukenya Justine

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:  Score 1 or else score 0	There was evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer as follows:  In the health sector, there was only one DDEG funded project which was; the Construction of a retaining wall at Kakoma HCIII DDEG Funded.  The technical drawings in the project file showed a 2.5m stone pitch retaining wall into a mass concrete base to be completed with PVC wheel hole piping.  The Project site was visited and we found that the stone pitched wall was constructed as designed for a maximum height along the undulating slope of 2.65m  There were no other DDEG funded projects in the Education, Water and Irrigation sectors.	1
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was evidence that the relevant technical officers of each infrastructure project supervised the projects prior to verification and certification of works in the previous FY as follows:  In the Education Sector;  Project 1: Mbirizi Muslim Seed Secondary School UGIFT funded  Site meeting minutes dated 28th January 2021, show the following PIT members in the attendance list; District Engineer, Environmental Officer, CAO, Clerk of Works, District Education Officer, RDC and the Community Development Officer. The last inspection report dated 24th June 2021 signed by Secretary and Chair Person PMT showed contractors request for a partial completion certificate.  Project 2: Construction of 2 classroom blocks, office and store at Bijaba A Primary School and Construction of 2 classroom blocks, office and store at Kalagala Primary School.  Site meeting minutes dated 10th February 2021, show the following PIT members in the attendance list; Project Manager, District Engineer, Senior Environmental Officer, CAO, District Education Officer and Community Development Officer  In the Health Sector:  Project 1: Upgrade of Kakoma HCII to HCIII in	2

Lwengo LG

Site meeting minutes dated 12th March 2020, show the following PIT members in the attendance list; Project Manager / District Engineer, Environmental Officer, CAO, District Health Officer, RDC, Community Development Officer and the Chair Person HMC.

Project 2: Construction of Stone Masonry Wall at Kakoma HCIII in Lwengo LG

Site meeting minutes dated 28th March 2021, show the following PIT members in the attendance list; Project Manager / District Engineer, Environmental Officer, District Health Officer, Community Development Officer and SAS Kyazanga.

Project 3: Fencing of Lwengo HCIV under PHC Funding

Site meeting minutes dated 29th March 2021, show the following PIT members in the attendance list; Project Manager / District Engineer, Environmental Officer, District Health Officer, Community Development Officer and SAS Lwengo

Water Sector

Site Meeting dated 21st May 2021 shows the following supervision Team in the attendance list; District Engineer, District Water Officer, Environmental Officer

Community Development Officer

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

There was evidence that the LG verified works (certified) and initiated payments of contractors within specified timeframes as per contract as follows:

In the Education Sector:

Project 1: Mbirizi Muslim Seed Secondary School UGIFT funded REF: MoES/UGIFT/WORKS/00119-Lot 18

The Contractor's Requisition: MMACKS INVESTMENT LTD Services Ltd requested payment of UGX 119,181,180 on 17th June 2021. A certificate amounting to UGX 119,181,180 and signed by CFO, District Education Officer, and CAO was provided on 20th June 2021. (No CDO Signature). Payment of UGX 119,181,180. was made on 23rd June, 2021. This lies within the required 2 months period for payment.

Project 2; Construction of a 2-classroom block Office and store, Bijaba A Primary School, Kyazanga Sub County (SFG Funded).

1

The Contractor's Requisition: P&G TRADERS AND CONTRACTORS requested payment of UGX 70,420,000 on 6th April 2021. A certificate amounting to UGX 63,497,356 and signed by CFO, District Education Officer, and CAO was provided on 20th June 2021. (No CDO Signature). Payment of UGX 63,497,356 was made on 4th June 2021. This lies within the required 2 months period for payment.

Project 3; Construction of a 2-classroom block Office and store, Kalagala Cope Primary School,

The Contractor's Requisition: Masaka Youth Development Forum Ltd requested payment of UGX 69,983,500 on 16th April 2021. A certificate amounting to UGX 66,484,325 and signed by CFO, District Education Officer, and CAO was provided on 19th April 2021. (No CDO Signature). Payment of UGX 66,484,325 was made on 14th June 2021. This lies within the required 2 months period for payment.

In the Health Sector:

Project 1; The Upgrading of Kakoma Health Centre II to Health Centre III, Lwengo UNDER UGIFT Funding. REF NO. MoH/UgIGFT/WRKS/2018-19/0001-20

The Contractor's Requisition: P&D Traders and Contractors Ltd requested payment of UGX 480,982,127 on 17th March 2021. A final certificate amounting to UGX 126,708,372 and signed by DHO, District Engineer and CAO was provided on 23rd April 2021. (No CDO Signature). Payment of UGX 126,708,372 was made on 23rd June 2021. This lies within the required 2 months period for payment.

Project 2: Construction of a retaining wall at Kakoma HCIII DDEG Funded.

The Contractor's Requisition: TRIPAH Engineering Service Ltd requested payment of UGX 45,353,000 on 15th March, 2021. A final certificate amounting to UGX 43,046,915 and signed by DHO, District Engineer and CAO was provided on 4th June 2021. (No CDO Signature). Payment of UGX 43,046,915 was made on 4th June 2021. This lies within the required 2 months period for payment.

Project 3: Fencing of Lwengo HCIV under PHC Funding; LWEN599/WRKS/20-21/000020

Contractor Requisition: FISCHER TECHNICAL SERVICES UG LTD request was on 14th June, 2021 amounting to UGX 30,088,500 signed by CAO, District Health Officer and District Engineer. The final payment Certificate Provided was signed by District Engineer, District Health Officer, CFO and CAO on 14th June, 2021. Payment of UGX 28,283,190 was made on 29th June, 2021.

In the water sector:

Project 1: Construction for Construction of 50m3



Capacity Masonry Tank Nyantungo. REF NO: LWEN 599/WRKS/20-21/000016

Contractor Requisition: THORNS ENGINEERING SERVICES Ltd request was on 28th May, 2021 amounting to UGX 26,999,816 signed by CAO, CFO, District Engineer and District Water Officer. Certificate provided was signed by District Engineer, District Water Officer, Senior Environmental Officer and CAO on 14th June, 2021. Payment of UGX 23,781,555. was made on 28th June, 2021. This lies within the required 2 months period for payment.

Project 2: Drilling of 2 Bore Holes in Kisekka and Kkingo Sub County REF NO: LWEN 599/WRKS/20-21/00007

Contractor Requisition: Doshnut Uganda Ltd request was on 26th April, 2021 amounting to UGX 39,885,180 signed by CAO, and District Water Officer. Certificate Provided was signed by District Engineer, Senior Environmental Officer District Water Officer and CAO on 27th April, 2021. Payment of UGX 37,857,120. was made on 14th June, 2021. This lies within the required 2 months period for payment.

Project 3: Supply of Bore Hole Spares, REF NO: LWEN 599/SPLS/20-21/00008.

Contractor Requisition: Matsazan Company Limited request was on 27th January 2021 amounting to UGX 55,583,900 signed by CAO. Certificate Provided was signed by District Engineer, District Water Officer and CAO on 10th February, 2021. Payment of UGX 52,757,600 was made on 24th March, 2021. This lies within the required 2 months period for payment.

In the Irrigation sector;

Project: Supply and Installation of 2 Demonstration Irrigation in Ndabwe Sub County

Contractor Requisition: FIRM BIZ CONSULTS LTD request was on 13th April 2021 amounting to UGX 19,998,720 signed by CAO, CFO and CEO (KIGANJU IRRIGATION SITE). Certified payment of UGX 17,858,857 was made on 28th April, 2021. This lies within the required 2 months period for payment.

Contractor Requesting: FIRM BIZ CONSULTS LTD request was on 10th June 2021 amounting to UGX 27,999,900 signed by CAO, CFO and CEO (KABWAMWI IRRIGATION SITE). Certified Payment of UGX 25,394,014 was made on 23rd June, 2021

13

Procurement, contract management/execution  
Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:  
Score 1 or else 0

There was evidence that LG had complete procurement file in place for each contract with all records as required by the PPDA Law as follows;  
Education:  
Project: Mbirizi Muslim Seed Secondary School

1

UGIFT funded REF: MoES/UGIFT/WORKS/00119-Lot 18 that had achieved practical completion showed;

1-Works Contract signed on 17th April 2019. With a contract sum of UGX 2,125,417,275

2-Evaluation Report evidenced by LG PPDA Form 46 signed on 6th March 2019.

3-Contracts Committee minutes signed on 14th March 2019 under Minute 00005/DCC/18-19

There were no other Education Projects under the DDEG Funding rather;

SFG Projects

Project 1: Construction of a 2-classroom block Office and store, Bijaba A Primary School, Kyazanga Sub County

REF: No: LWEN 559/WRKS/20-21/00002

Engineer 's Estimate: PP FORM 01: 75,000,000

1-Works Contract Sum: UGX 70,420,00 signed on 11th January,2021

2-Evaluation Report: Form 46, signed on 2nd October 2020.

3-Contracts Committee minutes showed award on the contract signed 19th October 2020 under Minute 00005/DCC/20-21

Project 2: Construction of a 2-classroom Office block and Store at Kalagala Cope Primary School. Malongo Sub County

LWEN 599/WRKS/20-21/00001

Engineer's Estimate from PP Form1: UGX 75,000.000

1-Works Contract signed on 11th January, 2021, for a contract sum of UGX 69,983,500

2-Evaluation report; Form 46 was signed on 2nd October 2020

3-Contracts Committee minutes for approval; signed on 5th October 2020 2021 under minute 0005/DCCC/20-21(a)

HEALTH

Project 1- The Upgrading of Kakoma Health Centre II to Health Centre III, Lwengo UNDER UGIFT Funding

REF NO. MoH/UgIGFT/WRKS/2018-19/0001-20

Engineer's Estimate: UGX 462,179,849

1-Works Contract sum of UGX 462,179,849 signed

on 13th March. 2019.

2-Evaluation Report Form 46, signed on 30th November 2018.

3-Contracts Committee minutes for approval of project signed on 16th January, 2019 under minute 0005/DCC/18-19

Project 2-Construction of a retaining wall at Kakoma HCIII DDEG Funded.

Engineer's Estimate: 46,550,000

1-Works Contract sum of UGX 47,470,000 signed on 15th March. 2021

2-Evaluation Report Form 46, signed on 15th February, 2021

3-Contracts Committee minutes for approval of project signed on 17th February, 2021 under minute 0006/DCC/20-21(a)

Project 3-Fencing of Lwengo HCIV under PHC Funding. LWEN599/WRKS/20-21/000020

Engineer's Estimate: 58,550,000

1-Works Contract sum of UGX 57,998,000 signed on 9th March. 2021

2-Evaluation Report Form 46, signed on 13th February, 2021

3-Contracts Committee minutes for approval of project signed on 17th February, 2021 under minute 0006/DCC/20-21(a)

## WATER

Project 1: Construction for Construction of 50m<sup>3</sup> Capacity Masonry Tank Nyantungo. REF NO: LWEN 599/WRKS/20-21/000016

Engineer's Estimate: UGX 27,350,000

Contact sum of UGX: 26,999,816

1-Works Contract signed, 22nd March 2021

2-Evaluation Report PP Form 46, signed on 15th February 2021

3-Contracts committee minutes signed on 17th February 2021 under minute 00006/DDC/20-21(a)

Project 2: Supply of Bore Hole Spares. REF NO: LWEN 599/SPLS/20-21/00008

Engineer's Estimate: UGX 55,870,000

Contract sum: UGX 55,583,900

1-Works contract signed on 11th January, 2021

2-Evaluation report, PP Form 46: 2nd October, 2020

3-Contracts committee minutes for approval signed on 5th October, 2020 under minute 00005/DCC/20-21a)

Project 3: Drilling of 2 Bore Holes in Kisekka and Kkingo Sub County. REF NO: LWEN 599/WRKS/20-21/00007

Engineer's Estimate: UGX 42,000,000

Contract sum: UGX: 41,984,400

1-Works contract signed on 11th January 2021

2-Evaluation report, Form 46: 2nd October 2020

3-Contracts committee minutes for approval signed on 5th October 2020 under minute 00005/DCC/20-21(a)

#### IRRIGATION

Supply and Installation of 2 Demonstration Irrigation in Ndabwe Sub County

REF NO: LWEN 599/WRKS/20-21/000026

Engineer's Estimate: UGX 21,982,000

Contract sum: UGX: 19,998,720

1-Works contract signed on 5th May 2021

2-Evaluation report, Form 46: 15th February 2021

3-Contracts committee minutes for approval signed on 17th February, 2021 under minute 00065/DCC/20-21(a)

#### **Environment and Social Safeguards**

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>There WAS Evidence that Lwengo DLG had designated a person to coordinate response to feedback on grievances/complaints and had established a centralized Grievance Redress Committee (GRC) as exemplified by the following:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG presented a Letter Dated 22/01/2018 and Referenced CR/D/6/161/2, Addressed to Senior Records Officer Scale U3 - Mr. Ssekabira Peters with the Subject: Assignment of Duty - Complaints Desk Officer - Lwengo District, Signed and Stamped by CAO - Kitembe Grace, with Copies to District Chairperson, Resident District Commissioner, Acting Deputy CAO, Chief Finance Officer, Principal Internal Auditor, File.</li> <li>- Lwengo DLG presented different Letters Dated 01/07/2020 and Referenced CR/D/218/1, Addressed to different officers and appointing them to Lwengo District Grievance Redress Committee as follows: Mugumya Wilfred (SAS Administration) - Chairperson; Lugalambi Ferelio Thadeus (District Water Officer) - Member; Mulumba Summayyah (Inspector of Schools) - Member; Mutemba Godfrey (Senior Environmental Officer) - Member; Signed and Stamped by CAO, with Copies to Resident District Commissioner, District Chairperson, Chief Finance Officer.</li> <li>- Lwengo DLG presented Minutes of Meetings of Lwengo District Grievance Redress Committee held on 30/July/2020, 30/March/2021, 10/June/2021; Referenced CR/154/1; Attended by all Members; Signed by Secretary - Ssekabira Peters; Signed by Chairperson - Mugumya Wilfred.</li> </ul>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>There WAS Evidence that Lwengo DLG had specified a system for recording, investigating and responding to grievances and had a defined complaints referral path and public display of information at LG offices given that the LG had a Website (<a href="http://www.lwengo.go.ug">www.lwengo.go.ug</a>) and also had LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18.</p>	2

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>There WAS Evidence that Lwengo DLG had publicized the grievance redress mechanisms so that aggrieved parties would know where to report and get redress given that the LG had a Website (<a href="http://www.lwengo.go.ug">www.lwengo.go.ug</a>) and also had LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>There was evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets.</p> <p>Page 52 – 55 of the DDP enumerates the planned interventions</p> <p>The approved budget has the following allocations:</p> <p>Page 35 UGX 1,000,000 provide in the Education Sector</p> <p>Page 49 UGX 1,200,000 provided under the Water Sector</p> <p>Page 50 UGX 400,000 provided under the Water Sector</p> <p>Page 51 UGX 1,000,000 provided under the Water Sector</p> <p>Page 52 UGX 12,000,000 for wetland planning and restoration</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>There was evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines in June 2021</p> <p>A report on the dissemination exercise is available in file.</p> <p>Dated 29th June 2020 prepared by Barigye Nicholas, The District Planner.</p>	1

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>There was NO Evidence that Lwengo DLG incorporated Costed Environmental and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for Discretionary Development Equalization Grant (DDEG) infrastructure projects of the previous FY (2020/2021 FY) as Costed ESMPs were ABSENT in designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There WAS Evidence that Lwengo DLG had projects with costing of the additional impact from climate change and costing of additional costs of addressing climate change adaptation as exemplified by the following projects:</p> <ul style="list-style-type: none"> <li>- Construction of 5-Stance Pit Latrine at Kanyogoga P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00009). Costed ESMP was indicated in the Bid Document on Page 715 in the Bill of Quantities under Section B with UGX 200,000 as costing of the additional impact from climate change and costing of additional costs for addressing climate change adaptation, Signed by the Contractor - Tripah Engineering Services Limited.</li> <li>- Construction of 5-Stance Lined Pit Latrine at St. Atanansi Nakatete P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00010). Costed ESMP was indicated in the Bid Document in the Bill of Quantities under Section F with UGX 360,000 as costing of the additional impact from climate change and costing of additional costs for addressing climate change adaptation, Signed and Stamped by the Contractor - Baiha Investments Limited on 08/February/2021.</li> <li>- Construction of Two (2) 3000 M3 Valley Tanks in Lwengo and Malongo Sub-counties under DWSCG Funding (LWEN/599/WRKS/2021-2022/00005). Costed ESMP was indicated in the Bid Document as Bill No. 3 in the Bill of Quantities under Section 3.18 with UGX 680,000 as costing of the additional impact from climate change and costing of additional costs for addressing climate change adaptation, Signed and Stamped by the Contractor - Dadasa Services Limited on 08/October/2021.</li> </ul>	3

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>There was NO Evidence that all DDEG projects were implemented on land where the LG had proof of ownership, access and availability, without any encumbrances as LG did not present land titles, agreements, letters of consent from landowners, MoUs for land where projects funded by DDEG were implemented.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>There WAS Evidence that Lwengo DLG Environmental Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs and provided monthly reports as exemplified by the following projects:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Construction of Two (2) Classroom Block with Office and Store at Bijaaba (A) Cope P/S in Kyazanga Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00002), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Construction of Two (2) Classroom Block with Office and Store at Kalagala Cope P/S in Malongo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00001), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Construction of Two (2) Classroom Block and Supply of 36-Three Sitter Desks at Nakalinzi P/S in Lwengo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00003), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 12/May/2021.</li> </ul>	1



Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:

Score 1 or else score 0

There WAS Evidence that Environmental and Social Compliance Certification Forms (ESCCFs) were completed and signed by Environmental Officer and Community Development Officer (CDO) prior to payments of contractors invoices at interim and final stages of projects as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block with Office and Store at Bijaaba (A) Cope P/S in Kyazanga Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00002), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block with Office and Store at Kalagala Cope P/S in Malongo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00001), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block and Supply of 36-Three Sitter Desks at Nakalinzi P/S in Lwengo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00003), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Final Certification No. NRC/001/05/2021 for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 13/May/2021.

## Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG makes monthly bank reconciliations and were up to-date at the point of time of the assessment but they were performed beyond one month after the month has ended\n</p> <p>The following cases were sampled:\n</p> <p>TSA A/C No. 05990528000000\n</p> <p>July 2020 was reconciled on 6th Nov. 2020\n (3 months later)</p> <p>October 2020 was reconciled on 19th May 2021\n (6.5 months later)</p> <p>January 2021 was reconciled on 19th May 2021\n (4.5 months later)</p> <p>April 2021 was reconciled on 30th Aug. 2021 \n(4 months later)</p>	0
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG had produced all Quarterly Internal Audit for the FY as follows:</p> <p>Q-1 Report dated 22nd Dec. 2020 signed by Mr. Atukwase Bernad, was submitted to IAG on 8th Jan. 2021</p> <p>Q-2 Report dated 9th Apr. 2020 signed by Mr. Atukwase Bernad, was submitted to IAG on 16th Apr. 2021</p> <p>Q-3 Report dated 26th Jul. 2021 signed by Mr. Atukwase Bernad, was submitted to IAG on 9th Aug. 2021</p> <p>Q-4 Report dated 14th Sep. 2021 signed by Mr. Atukwase Bernad, was submitted to IAG on 1st Oct. 2021</p>	2

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG had provided information to Council Chairperson and DPAC</p> <p>Q-1 Report dated 22nd Dec. 2020 signed by Mr. Atukwase Bernad, was submitted to District Speaker on 6th Jan. 2021 and to DPAC on the same day</p> <p>Q-2 Report dated 9th Apr. 2021 signed by Mr. Atukwase Bernad, was submitted to the District Speaker on 21st Apr. 2021 and DPAC the same day</p> <p>Q-3 Report dated 26th Jul. 2021 signed by Mr. Atukwase Bernad, was submitted to the District Speaker on 5th Aug. 2021 and to DPAC the same day</p> <p>Q-4 Report dated 14th Sep. 2021 signed by Mr. Atukwase Bernad, was submitted to District Speaker on 22nd Sep. 2021 and to DPAC on the same day</p>	1
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the Internal Audit reports had been submitted to the Accounting Officer and to DPAC but DPAC had not discussed all of them by the time of assessment:</p> <p>Q-1 Report dated 22nd Dec. 2020 signed by Mr. Atukwase Bernad, was submitted to CAO on 23rd Dec. 2020 and to DPAC on 6th Jan. 2021</p> <p>Q-2 Report dated 9th Apr. 2020 signed by Mr. Atukwase Bernad, was submitted to the CAO on 16th Apr. 2021 and to DPAC on 16th Apr. 2021</p> <p>Q-3 Report dated 26th Jul. 2021 signed by Mr. Atukwase Bernad, was submitted to the CAO on 3rd Aug. 2021 and to DPAC on 5th Aug. 2021</p> <p>Q-4 Report dated 14th Sep. 2021 signed by Mr. Atukwase Bernad, was submitted to CAO on 22nd Sep. 2021 and to DPAC on 22nd Sep. 2021</p> <p>The following DPAC Reports provide evidence that Internal Audit Findings were discussed:</p> <p>12th Oct. 2020 Ref. CR/STAT/252/1</p> <p>15th Dec. 2020 of even Ref. CR/STAT/252/1</p> <p>22nd Feb. 2021 of even Ref. CR/STAT/252/1</p> <p>20th May 2021 of even Ref. CR/STAT/252/1</p> <p>Whereas the latest report was issued on 14th Sep. 2021, DPAC last met in May 2021 therefore it had not been discussed by DPAC .</p>	0

**Local Revenues**

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>There was evidence that Local Revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) was beyond +/- 10 % variance</p> <p>Budget was UGX 858,443,000 out of which UGX 304,074,839 (35%) -net of disposal of assets of 12,500,000 (1%) was realized causing a shortfall of UGX 541,868,161 (63%)</p>	0
			Page 33 of Final Accounts	
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>There was evidence that the local revenue performance improved by UGX 89,431,769 (42%) from UGX 214,643,070 in FY 2019/2020 to UGX 304,074,839 in 2020/2021. The UGX 304,074,839 is net of UGX 12,500,000 received from Fixed Asset Disposal. There was no collection of arrears</p>	2
			Page 33 of Final Accounts	

Local revenue administration, allocation, and transparency

a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0

There was no evidence that the LG remitted the mandatory LLG share of local revenues during the previous FY.

Maximum 2 points on this performance measure.

During the year, the district collected local revenue amounting to UGX 192,055,000 out of which UGX 167,608,000 was shareable revenue.

A sum of UGX 18,027,196 was disbursed to the LLGs on 3rd May 2021 (representing 11% of the shareable revenue instead of 65%) derived from page-28 of the final accounts.

The disbursements to LLGs were made on 3rd May 2021 as follows

1 Malongo S/C UGX 2,432,992

2 Kyazanga S/C UGX 2,302,720

3 Kkingo S/C UGX 2,302,720

4 Kissekka S/C UGX 2,954,080

5 Ndagwe S/C UGX 2,063,260

6 Lwengo S/C UGX 1,563,264

7 Kyazanga T/C UGX 2,454,080

8 Lwengo T/C UGX 1,954,080

Total UGX 18,027,196

The local revenue was shared on 3rd May 2021 Voucher No. 36156229 UGX 64,849,889 (out of which only UGX 18,027,196 related to local revenue sharing)

## Transparency and Accountability

21

2

LG shares information with citizens

a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0

Maximum 6 points on this Performance Measure

There was evidence that all projects were put on notice boards and have since been pinned over with existing copies in the Procurement files to show; Procurement Reference Number, Subject of Procurement, Method of Procurement. Name of Best Evaluated bidder and Total Contract Price, Date of Display and Date of Removal.

### EDUCATION

1-Construction of a 2-classroom block Office and store, Bijaba A Primary School, Kyazanga Sub County

REF: No: LWEN 559/WRKS/20-21/00002

Contract Sum: UGX 70,420,00

Date of Display: 5th October, 2020

Date of Removal: After 10 working Days

2-Construction of a 2-classroom block Office and

store, Kalagala Cope Primary School, Kyazanga Sub County

REF: No: LWEN 559/WRKS/20-21/00002

Date of Display: 5th October 2020

Date of Removal: After 10 working Days

#### HEALTH

2-Construction of a retaining wall at Kakoma HCIII DDEG Funded.

Contract sum of UGX 47,470,000

Date of Display: 18TH February 2021

Date of Removal: 25th February 2021

3-Fencing of Lwengo HCIV under PHC Funding

Contract sum of UGX 57, 998,000

Date of Display: 18TH February 2021

Date of Removal: 25th February 2021

#### WATER

Construction of 50m3 Capacity Masonry Tank Nyantungo

Contact sum of UGX: 26,999,816

Date of Display: 18TH February 2021

Date of Removal: 25th February 2021

Supply of Bore Hole Spares

REF NO: LWEN 599/SPLS/20-21/00008

Date of Display: 5th October, 2020

Date of Removal: After 10 working Days

Drilling of 2 Boreholes in Kiseka Sub County

Contract sum: UGX: 41,984,400

Date of Display: 5th October 2020

Date of Removal: After 10 working Days

#### IRRIGATION

Supply and Installation of 2 Demonstration Irrigation in Ndabwe Sub County

Contract sum: UGX: 19,998,720

Date of Display: 18TH February 2021

Date of Removal: 25th February 2021

21	LG shares information with citizens  Maximum 6 points on this Performance Measure	b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0	There was evidence that the LG performance assessment results and implications are published for the previous year  The results were placed on the Notice Board as physically verified (not dated)  And posted on the district website <a href="http://www.lwengo.go.ug">www.lwengo.go.ug</a> on 18th Sep. 2021	2
21	LG shares information with citizens  Maximum 6 points on this Performance Measure	c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0	There was no evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation	0
21	LG shares information with citizens  Maximum 6 points on this Performance Measure	d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0	The Local Revenue Enhancement Plan for 2020/2021 is in place that contains the tax rates and collection procedures, it was passed by council on 21st May 2020 vide Council Minute No. 09/LDC/5/2020  The Revenue Enhancement plan was duly Signed by The District Chairman Hon. Kitata Ibrahim on the same day  The plan is available on the district website <a href="https://lwengo.go.ug/">https://lwengo.go.ug/</a>	1
22	Reporting to IGG  Maximum 1 point on this Performance Measure	a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0	CAO's Letter, Ref. CR/D/218/1 signed by Mr. Mugumya Wilfred on the 29th Jan. 2021, delivered to IGG's office on 1st Feb. 2021 provides the status of all the pending cases of investigations at the time	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>1a. Number of candidates who sat for PLE in 2019 was 6995 candidates</p> <p>PLE performance 2019</p> <p>Div 1. Number of candidates 779 = 11.13%</p> <p>Div 2. Number of candidates 3118 =44.57 %</p> <p>Div 3. Number of candidates 1299 = 18.57%</p> <p>Number of candidates who passed in division 1 to 3 was 5196 = 74.27%</p> <p>1b. Number of candidates who sat for PLE in 2020 was 7159 candidates</p> <p>PLE performance in 2020</p> <p>Div 1. Number of candidates 957 = 13.7%</p> <p>Div 2. Number of candidates 3677 = 52.7%</p> <p>Div 3. Number of candidates 1126 = 16.1%</p> <p>Number of candidates who passed in division 1 to 3 was 5750 = 82.5%</p> <p>Improvement in performance between 2019 and 2020 was 8.23%</p>	4



1

Learning Outcomes:  
The LG has improved  
PLE and USE pass  
rates.

Maximum 7 points on  
this performance  
measure

b) The LG UCE pass rate has  
improved between the  
previous school year but one  
and the previous year

- If improvement by more than 5% score 3
- Between 1 and 5% score 2
- No improvement score 0

2a. The number of candidates who sat for UCE in  
2019 was 988

UCE performance 2019

Div 1. Number of candidates 47 = 5.00%

Div 2. Number of candidates 169 = 17.00%

Div 3. Number of candidates 253 = 26.00%

Number of candidates who passed in division 1 to 3  
was 496 = 48.00%

2b. The number of candidates who sat for UCE in  
2020 was 1066

UCE performance 2020

Div1. Number of candidates 70 = 6.56%

Div 2. Number of candidates 171 = 16.04%

Div 3. Number of candidates 274 = 25.70%

Number of candidates who passed in division 1 to 3  
was 515 = 48.30%

Improvement in performance is 0.30%

0

2

Service Delivery  
Performance: Increase  
in the average score in  
the education LLG  
performance  
assessment.

Maximum 2 points

a) Average score in the  
education LLG performance  
has improved between the  
previous year but one and  
the previous year

- If improvement by more than 5% score 2
- Between 1 and 5% score 1
- No improvement score 0

Not applicable

0

Investment  
Performance: The LG  
has managed  
education projects as  
per guidelines

Maximum 8 points on  
this performance  
measure

a) If the education  
development grant has been  
used on eligible activities as  
defined in the sector  
guidelines: score 2; Else  
score 0

From the Budget performance report and education  
sector guidelines there was evidence that the  
education development grant of Shs 297,000,000 for  
the FY 2020/2021 was used on eligible activities as  
defined in the sector guidelines

There was evidence that the education development  
grant was used on eligible activities as defined in the  
education sector guidelines.

1. Construction of a two-classroom block, an office  
and store at Nakalinzi PS Lwengo sub county Shs  
75,000,000

2. Construction of a two-classroom block, an office  
and store at Bijaaba A PS Kyazanga sub county Shs  
75,000,000

3. Construction of a two-classroom block, an office  
and store at Kalagala COPE PS Malongo sub county  
Shs 75,000,000

4. Constructed 5-stance latrines in each of the  
following schools;

1. Lyakabiriizi COPE PS Kyazanga sub county at Shs  
24,000,000

2. Atanansi Nakateete PS Ndagwe sub county at Shs  
24,000,000

3. Kanyogoga PS Ndagwe sub county at Shs  
24,000,000

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>There was evidence that the CDO and Environmental Officer did not sign on Certificates as shown below:</p> <p>Project: Mbirizi Muslim Seed Secondary School UGIFT funded REF: MoES/UGIFT/WORKS/00119-Lot 18</p> <p>Certificate Provided was signed by CFO, District Education Officer, and CAO on 20th June 2021. (No CDO and Environmental Officer Signatures)</p> <p>SFG Projects</p> <p>Project 1: Construction of a 2-classroom block Office and store, Bijaba A Primary School, Kyazanga Sub County/</p> <p>Certificate Provided was signed by District Engineer, District Education Officer and CAO on 19th April 2021. (No CDO and Environmental Officer Signatures)</p> <p>Project 2: Construction of a 2-classroom block Office and store, Kalagala Cope Primary School,</p> <p>Certificate Provided was signed by District Engineer, District Education Officer and CAO on 19th April 2021. (No CDO and Environmental Officer Signatures)</p>	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0</p>	<p>There was evidence that variations were within +/- 20% as shown below:</p> <p>SFG Projects</p> <p>Project 1: Construction of a 2-classroom block Office and store, Bijaba A Primary School, Kyazanga Sub County</p> <p>Engineer 's Estimate: PP FORM 01: 75,000,000</p> <p>Works Contract Sum: UGX 70,420,00 signed on 11th January,2021</p> <p>Percentage Variation is -6.1% &lt; 20%. Below Limit</p> <p>Project 2: Construction of a 2-classroom block Office and store, Kalagala Cope Primary School,</p> <p>Engineer's Estimate from PP Form1: 75,000.000</p> <p>Works Contract signed on 11th January 2021, for a contract sum of UGX 69,983,500</p> <p>Percentage Variation is -6.6% &lt; 20%. Below Limit</p>	2

3	Investment Performance: The LG has managed education projects as per guidelines	d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY	There was evidence that education projects (Seed Secondary School) were completed as per the work plan in the previous FY. Construction of Mbirizi Muslim Seed Secondary School UGIFT funded was completed in the month of October as seen from request for payment. The contractor was found to be off site and awaiting a site handover meeting. There were no other seed schools.	1
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80% score 0</li> </ul>		
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines	From DES basic requirements and minimum standards for schools and list of registered schools in the performance contract there was evidence that the LG had recruited primary school teachers as per the prescribed MoES staffing guidelines. According to the staffing structure for schools, each of the 134 schools in the district was expected to have 8 teachers.	2
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 – 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	Expected staffing position is 8 teachers x 134 schools = 1072	
			Staffing position at the time of assessment 1038	
			Percentage staffing position 96.82%.	
4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,	The LG has 142 registered schools (134 primary and 8 secondary) and there was evidence that the LG had an asset register for FY 2019/2020 and according to the register, 90 schools = 63.30% met the minimum standards (84 primary and 6 secondary). From those that did not meet the minimum standards (28 primary and 2 secondary) had less than 2 pit latrine stances, 24 primary schools had less than 7 classrooms and 7 primary had less than 7 classrooms and less than 2 latrine stances. However, the LG did not prepare an asset register for 2020/2021.	2
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> <li>• If above 70% and above score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>		

## Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>From the LG teacher deployment list, there were discrepancies between the staff deployment list at the LG department notice board and the actual staffing in the sampled schools publicized on school notice boards therefore, was no evidence that the LG had accurately reported on teachers and where they were deployed.</p> <p>Teachers on the LG staff list for Kabasegu PS (Tusiime Grace and Kewaza Jannet) were not found in the attendance register of Kabasegu PS at the time of assessment</p>	0
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>There was no evidence that the LG had an updated asset register accurately reporting on the infrastructure in all registered primary schools</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> <li>• If 100% school submission to LG, score: 4</li> <li>• Between 80 – 99% score: 2</li> <li>• Below 80% score 0</li> </ul>	<p>There was no evidence that the LG ensured that all registered primary schools had complied with the MoES annual budget and reporting guidelines and that they had submitted reports (signed by the headteacher and chairperson SMC) to the DEO by January 30.</p>	0

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30– 49% score: 2</li> <li>• Below 30% score 0</li> </ul>	<p>There was no evidence that UPE schools were supported to prepare school improvement plans in line with inspection regulations</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 – 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>There was no evidence that the LG education department had collected and compiled OTIMS return forms for all registered primary schools from the previous FY</p>	0

### Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>From the performance contract for FY 2021/2022 there was evidence that the LG provided a budget of Shs. 9,000,066,000 for a headteacher and a minimum of one teacher per class (1038 teachers in 134 primary schools) in the current FY.</p>	4
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>From list of schools, staff lists and staff attendance registers in sampled schools there was no evidence that the teachers as indicated in the LG staff list are deployed those very schools.</p> <p>In the LG staff list Nalubaale Margret is on Kyamaganda PS staff list but the assessment team found out that she had been transferred to another school.</p> <p>Grace Tusiimire and Kewaza Jannet who appear on the staff list of Kabaseegu PS were found transferred to other schools</p>	0

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,  score: 1 else, score: 0</p>	<p>From the LG staff list dated October 2021 and actual deployment per school and on school school notice boards there was evidence that teacher deployment data had been disseminated on school notice board</p>	1
	<p>Maximum 8 points on this performance measure</p>			
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO  Score: 2 or else, score: 0</p>	<p>All the 134 primary school head teachers had been appraised with evidence of appraisal reports submitted to HRM with copy to DEO. For example Guma David, H/T Busibo PS was appraised on 10/05/2021, Karungi Dorah, H/T St. Mary's Kittoro was appraised on 01/06/2021.</p>	2
	<p>Maximum 8 points on this performance measure</p>			
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM  Score: 2 or else, score: 0</p>	<p>There was no evidence to show that all the 8 secondary school head teachers had been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM. The explanation was that it was not clear, as to whether it was the commissioner for Higher Education or the district of deployment that was responsible for the appraisal.</p>	0
	<p>Maximum 8 points on this performance measure</p>			

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence that all the 4 staff in the LG Education department had been appraised against their performance plans. For instance Mulumba Sumayyah, an Inspector of Schools was appraised on 30/06/2021, Mbazira Gonzaga, Education Officer (EO) for special needs was appraised on 20/08/2021, Mukiza Godfrey, EO was appraised on 16/09/2021, and Sempijja Musa, EO in charge of sports was appraised on 15/09/2021.</p>	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was no evidence that the LG department had prepared a training plan to address identified capacity gaps at the school and LG level</p>	0
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**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>There was no evidence (letter from CAO correcting the list of schools and enrollment) that the LG confirmed in writing the list of schools, their enrollment and budget allocation by December 15th.</p> <p>At the time of assessment there was no copy of the letter from CAO correcting the list of schools and their enrollment</p>	0
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9

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.

If 100% compliance, score:2  
else, score: 0

From the annual work plan there was evidence that the LG made an allocation of Shs. 66,000,000 in the FY 2020/2021 for inspection and monitoring functions and the activities that were conducted complied to sector guidelines

2

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters  
If 100% compliance, score: 2  
else score: 0

There was no evidence that the LG submitted warrants for school's capitation within 5 days from the date of quarterly release of funds.

Q-1 Cash Limit was received 14th Jul. 2020 and Warrant Number 599AW-2021-7 was prepared on 4th Aug. 2020, -21 days later

[Q-1 funds were eventually disbursed on 15th Oct. 2020 Voucher No. 33143869 UGX 761,630 to St John Ggavu P/S (3 months later) as per the voucher samples below]:

Voucher No. 33143950 UGX 665,308 transferred to Nzizi P/S on 15th Oct. 2020 (3 months later)

VN 32225041 of 21st Oct. 5,857,858 disbursement to Nakateete S.S (3 months later)

VN 32225117 21st Oct. 2020 Transfer to Lwengo Technical Institute (3 months later)

(Total Disbursements of the quarter UGX. 312,954,641)

Q-2 Cash Limit was received 8th Oct. 2020 but did not include capitation grants

Q-3 Cash Limit was received 11th Jan. 2021 and Warrant 599AW-2021-18 was prepared on 27th Jan. 2021 (16 days later)

[Q-3 funds were eventually disbursed on 12th Feb. 2021 -One month later; Total Transfers for the quarter UGX 308,563,461), sampled vouchers are as below]:

Voucher No. 34356517 of 12th Feb. 2021 UGX 23,650,750 transferred to Tertiary Institutions

Voucher No. 34848587 of 26th Feb. 2021 UGX. 1,927,000 transferred to Mayira High School

Q-4 Cash Limit was received 6th Apr. 2021 and Warrant No. 599AW-2021-28 was prepared on 12th Apr. 2021, 6 days later

[Eventually funds for Q-4 were disbursed on 4th Jun. 2021

Voucher No. 36817872 UGX 88,254,402 disbursed to Lwengo Technical Institute (2 months later)

Voucher No. 37593641 UGX 91,371 dsbursed to St Joseph Kyassonko P/S

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

There was no evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

Q-1 Cash Limit was received 14th Jul. 2020 and disbursed on 15th Oct. 2020 Voucher No. 33143869 UGX 761,630 to St John Ggavu P/S (3 months later)

Voucher No. 33143950 UGX 665,308 transferred to Nzizi P/S on 15th Oct. 2020 (3 months later)

VN 32225041 of 21st Oct. 5,857,858 disbursement to Nakateete S.S (3 months later)

VN 32225117 21st Oct. 2020 Transfer to Lwengo Technical Institute (3 months later)

(Total Disbursements of the quarter UGX. 312,954,641)

Q-2 Cash Limit was received 8th Oct. 2020 but did not include capitation grants

Q-3 Cash Limit was received 11th Jan. 2021 and disbursed on 12th Feb. 2021 -One month later

(Total Transfers for the quarter UGX 308,563,461) Voucher No. 34356517 of 12th Feb. 2021 UGX 23,650,750 transferred to Tertiary Institutions

Voucher No. 34848587 of 26th Feb. 2021 UGX. 1,927,000 transferred to Mayira High School

Q-4 Cash Limit was received 6th Apr. 2021 and disbursed on 4th Jun. 2021 Voucher No. 36817872 UGX 88,254,402 disbursed to Lwengo Technical Institute (2 months later)

Voucher No. 37593641 UGX 91,371 dsbursed to St Joseph Kyassonko P/S

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

From LG inspection plan (inspection plan to cover April to June 2020/2021 submitted to CAO on 12/04/2021), there was evidence that the LG education department prepared an inspection plan and conducted meetings to plan for inspection

10	Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i>	b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:  <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	From school list from PBS, LG inspection plan for the previous FY and LG inspection and monitoring reports (inspection report dated 02/06/2021 shows that a total of 160 schools were inspected including private schools) from the previous three terms  50 out of the 50 registered UPE schools in the LG were inspected = 100%	2
10	Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i>	c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,  Score: 2 or else, score: 0	From minutes of departmental meetings and information from sample schools (Kyetume PS, Kyamaganda PS and Kabaseegu PS) there was evidence that inspection reports were discussed ( meeting held on 07/06/2021) and used to make corrective actions.	2
10	Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i>	d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0	Information from sample schools (Kyetume PS, Kyamaganda PS and Kabaseegu PS), minutes of departmental meetings ( meeting on 07/06/2021) and DES acknowledgement letters (30/01/2021 term 1 report, 30/05/2021 term 2 report and 30/06/2021 term 3 report) for submission of quarterly reports there was evidence that DIS and DEO presented findings from inspection and monitoring results to respective schools and submitted the reports to DES	2
10	Routine oversight and monitoring  <i>Maximum 10 points on this performance measure</i>	e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0	The social Services Committee, responsible for education was in place and functional  Minutes available for meetings held on 24th Jul. 2020, 20th Oct. 2020 and on 5th Feb. 2021 where issues of service delivery in education were discussed	2

11	Mobilization of parents to attract learners  <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,  <i>score: 2 or else score: 0</i>	There was no evidence that the LG education department conducted activities to mobilize, attract and retain children at school	<b>0</b>
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**Investment Management**

12	Planning and budgeting for investments  <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards,  <i>score: 2, else score: 0</i>	There was no evidence that the LG department had an updated asset register that sets out school facilities and equipment relative to basic standards	<b>0</b>
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12	Planning and budgeting for investments  <i>Maximum 4 points on this performance measure</i>	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY,  <i>score: 1 or else, score: 0</i>	There was evidence that the district has conducted desk appraisals for projects implemented during the year:  Construction of Class Room Blocks at Kalagala P/S, Bijaaba P/S and Nakalinzi P/S are derived from Page 157 of the DDP was discussed by TPC on 11th Aug. 2020 Minute No. 05/LWG/08/2020 on Page 4  Construction of 5-Stance Pit Latrines at Lyakibirizi P/S, Kanyogoga P/S and at St Atanas P/S Page 158 of the DDP was discussed by TPC on 11th Aug. 2020 Minute No. 05/LWG/08/2020 on Page 4  Schedule of projects appraised are annexed to the minutes	<b>1</b>
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12	Planning and budgeting for investments  <i>Maximum 4 points on this performance measure</i>	c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0	There was evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability for projects implemented in the year  The district however, conducted social screening for all DDEG projects. A report prepared by Mazinga Joseph (The DCDO) dated 14th Apr. 2021 is in place  A Report on Technical Feasibility study on all District Development projects was prepared by the District Engineer Mr. Kaweesi Robert on 14th Oct. 2019 (during the planning period for 2020/2021)  And a separate report had been prepared by the District Engineer for projects in the Education Sector on the same date (un-referenced reports)	<b>1</b>
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13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the education infrastructure projects were incorporated in the current Financial year's approved procurement plan. The projects however did not include a Seed Secondary school. The approved procurement plan was signed on 10th May 2021.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General before the commencement of construction as follows:</p> <p>Project: Mbirizi Muslim Seed Secondary School  UGIFT funded REF: MoES/UGIFT/WORKS/00119-Lot 18 that was ongoing and had achieved practical completion. Contracts Committee minutes for approval were signed on 14th March, 2019 under Minute 00005/DCC/18-19. The Approval letter from the Solicitor General: Karungi Betty Gafabusa was signed on 3rd April 2019 because contract sum Ugx 476,491,899 was above the Ugx 2000,000 threshold.</p>	1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. *score: 1, else score: 0*

There was evidence that the LG established a Project Implementation Team (PIT) for school construction projects in form of an appointment letter of the following Projects;

1-Appointment Letter dated 14th March 2019 for the Construction Mbirizi Seed Secondary School constituted:

Contract Manager: Ms Ankunda Doreen

Project Manager: Mr. Kaweesi Robert

Senior Environmental Officer: Mr. Mutemba Godfrey

Community Development Officer: Mazinga Joseph

Town Clerk Lwengo TC: Ingabire Juliet

2-Appointment Letter dated 14th July, 2020 for the construction of a classroom block with an office at Bijaba SDA Primary School and Construction of a classroom block with an office at Kalagala P/S constituted:

Contract Manager: Ms Ankunda Doreen

Project Manager: Mr. Kaweesi Robert

Environmental Officer: Mr. Mutemba Godfrey

Community Development Officer: Mazinga Joseph

Chairperson SMC: Bisaso Robert

SAS Kyazanga: Ssendagala John

Head Teacher: Kebirungi Loyce

Procurement, contract management/execution  
  
*Maximum 9 points on this performance measure*

d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES  
  
*Score: 1, else, score: 0*

There was evidence that the school infrastructure followed the standard technical designs provided by the MoES as follows;

The approved Technical design was provided by the MoES for the Construction of Mbirizi Muslim Seed Secondary School UGIFT funded.

A site visit was made to confirm the following buildings constructed:

2 Classroom Blocks

2 Laboratory Blocks

Administration Block

ICT Laboratory

Play Ground and VIP Toilets

A sample classroom block showed dimensions of 7.48m Gable width with a veranda width of 1.5m for a total block length of 18.5m matched the drawing dimensions.

Sections of the drawing shows that the Trusses consisted of 60x40 RHS top chord on 40x40 SHS struts on 80x40 bottom chord.

These were found to be constructed as constructed.

Workmanship in the areas of the floor casting and finishes was acceptable to show reveal a concrete slab that required some cracking repairs due to shrinking and lack of joints.

The buildings were located in a swamp and required to be raised 300mm to avoid flooding.

VIP Toilets were found to be raised 1.5m above ground which was above the 400mm in the drawing.

This was done to ensure building was above the water table as it would hinder the natural decomposition of waste matter otherwise.

The other Blocks were found to have been quality assured in workmanship in structural elements, finishes and Mechanical and Electrical services.



13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY as follows:</p> <p>Project: Mbirizi Muslim Seed Secondary School UGIFT funded</p> <p>Monthly site meetings were held evidenced by site meetings minutes as per contract requirements for the previous financial year. Site Inspection Reports were dated to 31st April 2021 and Site Meeting minutes dated 28th January, 2021.</p> <p>No other Site meetings could be held beyond this date due to the Covid 19 induced Lock down.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was evidence that the LG Project Implementation Team supervised and certified works for the projects shown below:</p> <p>Project 1: Mbirizi Muslim Seed Secondary School UGIFT funded</p> <p>Site meeting minutes dated 28th January 2021, show the following PIT members in the attendance list; District Engineer, Environmental Officer, CAO, Clerk of Works, District Education Officer, RDC and Community Development Officer. The last inspection report dated 24th June 2021 signed by Secretary and Chair Person PMT showed contractors request for a partial completion certificate.</p> <p>Project 2: Construction of 2 classroom blocks, office and store at Bijaba A Primary School and Construction of 2 classroom blocks, office and store at Kalagala Primary School</p> <p>Site meeting minutes dated 10th February, 2021, show the following PIT members in the attendance list; Project Manager, District Engineer, Senior Environmental Officer, CAO, District Education Officer and Community Development Officer</p>	1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, *score: 1, else score: 0*

There was evidence that the LG verified works and made payments as evidenced by the following sampled projects:

#### EDUCATION

Project: Mbirizi Muslim Seed Secondary School  
UGIFT funded REF: MoES/UGIFT/WORKS/00119-Lot 18

Contractor's Requisition: MMACKS INVESTMENT LTD Services Ltd request was on 17th June 2021 amounting to UGX 119,181,180

Certificate Provided was signed by CFO, District Education Officer, and CAO on 20th June 2021. (No CDO Signature)

Payment of UGX 119,181,180. was made on 23rd June 2021

This lies within the required 2 months period for payment.

#### SFG Projects

Project 1: Construction of a 2-classroom block Office and store, Bijaba A Primary School, Kyazanga Sub County

Contractor's Requisition: P&G TRADERS AND CONTRACTORS request was on 16th April, 2021 amounting to UGX 70,420,000 signed by, CFO CAO, and District Education Officer.

Certificate Provided was signed by District Engineer, District Education Officer and CAO on 19th April 2021.

Payment of UGX 63,497,356. was made on 4th June 2021

This lies within the required 2 months period for payment.

Project 2: Construction of a 2-classroom block Office and store, Kalagala Cope Primary School,

Contractor's Requisition: Masaka Youth Development Forum Ltd request was on 16th April 2021 amounting to UGX 69,983,500 signed by CAO, District Education Officer.

Certificate Provided was signed by District Engineer, District Education Officer and CAO on 19th April 2021

Payment of UGX 66,484,325 was made on 14th June 2021

This lies within the required 2 months period for payment.

13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i>	There was evidence that the Procurement Plan for the Education sector was submitted after 30th April, 2021 :  Education Procurement Plan was submitted and received by PDU on 10/05/2021. Therefore, it was above required time frame.	<b>0</b>
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13	Procurement, contract management/execution  <i>Maximum 9 points on this performance measure</i>	i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i>	There was evidence that complete procurement files exist as shown below;  Project: Mbirizi Muslim Seed Secondary School UGIFT funded REF: MoES/UGIFT/WORKS/00119-Lot 18 that is still achieved practical completion shows;  Works Contract signed on 17th April 2019. With a contract sum of UGX 2,125,417,275  Evaluation Report evidenced by LG PPDA Form 46 signed on 6th March 2019.  Contracts Committee minutes signed on 14th March 2019 under Minute 00005/DCC/18-19  There were no other seed schools in the LG.	<b>1</b>
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**Environment and Social Safeguards**

14	Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.  <i>Maximum 3 points on this performance measure</i>	Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, <i>score: 3, else score: 0</i>	There WAS Evidence that Lwengo DLG Education grievances had been recorded, investigated, and responded to in line with the LG grievance redress framework as records of education sector related grievances/complaints were found in LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18. Education grievances were mainly on payroll access, staff payments (salaries, allowances; pensions), staff transfers and appeals against such transfers.	<b>3</b>
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15	Safeguards for service delivery.  <i>Maximum 3 points on this performance measure</i>	Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation  <i>Score: 3, or else score: 0</i>	There was no evidence that the LG disseminated education guidelines to provide for access to land (without encumbrance), proper siting of schools, energy and water conservation	0
16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i>	There WAS Evidence that Lwengo DLG had Costed ESMPs incorporated in BoQs and contractual documents to comply with safeguards requirements within the Education Sector Guidelines as exemplified by the following projects:  - Construction of 5-Stance Pit Latrine at Kanyogoga P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00009). Costed ESMP was indicated in the Bid Document on Page 715 in the Bill of Quantities under Section B with UGX 200,000 as costing of the additional impact from climate change and costing of additional costs for addressing climate change adaptation, Signed by the Contractor - Tripah Engineering Services Limited.  - Construction of 5-Stance Lined Pit Latrine at St. Atanansi Nakatete P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00010). Costed ESMP was indicated in the Bid Document in the Bill of Quantities under Section F with UGX 360,000 as costing of the additional impact from climate change and costing of additional costs for addressing climate change adaptation, Signed and Stamped by the Contractor - Baiha Investments Limited on 08/February/2021.  - Construction of Two (2) Classroom Block with Office and Store at Katovu C.O.U P/S in Katovu Town Council under SFG Funding (LWEN/599/WRKS/2021-2022/00002). Costed ESMP was indicated on Page 43 in the Bid Document in the Bill of Quantities under Section B with UGX 150,000 for environmental mitigation measures, Signed and Stamped by the Contractor - Rwenkuraijo Building Contractors Limited on 06/October/2021.	2
16	Safeguards in the delivery of investments  <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	There was NO Evidence that Lwengo DLG had proof of land ownership, access and availability to conduct planned school construction projects as LG did not present land titles, agreements, letters of consent from landowners, MoUs for land where planned school construction projects were implemented.	0
16				2

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, *score: 2, else score:0*

There WAS Evidence that Lwengo DLG Environmental Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs and provided monthly reports as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Construction of Two (2) Classroom Block with Office and Store at Bijaaba (A) Cope P/S in Kyazanga Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00002), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Construction of Two (2) Classroom Block with Office and Store at Kalagala Cope P/S in Malongo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00001), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Construction of Two (2) Classroom Block and Supply of 36-Three Sitter Desks at Nakalinzi P/S in Lwengo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00003), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block with Office and Store at Bijaaba (A) Cope P/S in Kyazanga Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00002), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block with Office and Store at Kalagala Cope P/S in Malongo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00001), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block and Supply of 36-Three Sitter Desks at Nakalinzi P/S in Lwengo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00003), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

*Score: 1, else score:0*

There WAS Evidence that Environmental and Social Compliance Certification Forms (ESCCFs) were completed and signed by Environmental Officer and Community Development Officer (CDO) prior to payments of contractors' invoices at interim and final stages of projects as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block with Office and Store at Bijaaba (A) Cope P/S in Kyazanga Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00002), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block with Office and Store at Kalagala Cope P/S in Malongo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00001), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/2/06/2021 for Construction of Two (2) Classroom Block and Supply of 36-Three Sitter Desks at Nakalinzi P/S in Lwengo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00003), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 08/June/2021.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>The Local Government (LG) registered 298% increase in the utilisation of health care services. Total deliveries at the 3 sampled health facilities including Kiwangala HCIV, Kinoni HCIII and Nanywa HCIII increased from 574 in financial year (FY) 2019/2020 to 1,711 in FY 2020/2021. Changes in deliveries at each of the 3 health include an increase from; 293 to 895 at Kiwangala HCIV, 176 to 581 at Kinoni HCIII and 105 to 235 at Nanywa HCIII.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>There was evidence that health development grant was used on eligible activities.</p> <p>The district PHC Development Budget was UGX 92,742,000 which was realized 100% (Page 16 of the 4th Quarter Report)</p> <p>The sector development grant was spent on the following projects:</p> <ol style="list-style-type: none"> <li>1. Fencing of Lwengo H/C-IV UGX 61,617,000</li> <li>2. Laying Walk-ways at Kyanzanga H/C-4 UGX 21,503,000</li> <li>3. Renovation of Theater at Kyazanga H/C-4 UGX 8,880,000</li> <li>4. Renovation of Lwengenyi H/C-II UGX 742,000</li> </ol>	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>There was evidence that DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers</p> <p>The district conducted environmental and social screening for all health projects. A general report prepared by Mazinga Joseph (The DCDO) dated 14th Apr. 2021 is in place</p> <p>A report for the Environmental &amp; Social Screening for the stone pitching wall at Kakoma H/C-III prepared by Mutumba Godfrey (The Sen. Environmental Officer) dated 21st Jul. 2020 is available in file</p> <p>Environmental Screening report for investment and infrastructure projects in Health Sector dated 30th Nov. 2020 is available in the file; prepared and signed by Mutumba Godfrey (The Sen. Environmental Officer) and Mazinga Joseph (The DCDO)</p> <p>A Report on Technical Feasibility study on all District Development projects was prepared by the District Engineer Mr. Kaweesi Robert on 14th Oct. 2019 (during the planning period for 2020/2021)</p> <p>And a separate report had been prepared by the District Engineer for projects in the Education Sector on the same date (un-referenced reports)</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>There was evidence that the contract price of sampled works/supplier for the previous FY contracts are within +/-20% of the MOH Engineers estimates</p> <p>As shown below:</p> <p>Construction of a retaining wall at Kakoma HCIII DDEG Funded.</p> <p>Engineer's Estimate: 46,550,000</p> <p>Payment of UGX 43,046,915 was made on 4th June, 2021</p> <p>The Percentage Variation was 8.1% &lt; 20% Below Limit</p>	2



3	Investment performance: The LG has managed health projects as per guidelines.	d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY	There was evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY as shown from the works contracts and payment certificates for the completion of of the following projects:	2
	Maximum 8 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100 % Score 2</li> <li>• Between 80 and 99% score 1</li> <li>• less than 80 %: Score 0</li> </ul>	<p>1. The Upgrading of Kakoma Health Centre II to Health Centre III was planned, REF NO. MoH/UGIFT/WRKS/2018-19/0001-20 under the UGIFT funding. The final instalment of UGX 76,239,964 was made on 24th March, 2021 signed by the DHO, District Engineer and CAO; and</p> <p>2. Payment for retention for the renovation of a maternity ward at Lwengo HCIII worth UGX 126,708,372 was made on 23rd June 2021, signed by the DHO, District Engineer and CAO.</p>	
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure	<p>There was evidence that the LG had recruited 69% staff for all HCIIIs and HCIVs as per staffing structure, which provided for 48 staff at HCIV, and 19 staff at CHIII. Expected staffing level at both levels would be;</p> <p>a) 3 HCIVs, each 48 gave 144, but actual in place was 92 staff.</p> <p>4HCIIIs, each 19, gave 76 but actual was 60.</p> <p>Total expected was 220 but the actual establishment was 152 health workers, and this translated into 69%</p>	0
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• If above 90% score 2</li> <li>• If 75% - 90%: score 1</li> <li>• Below 75 %: score 0</li> </ul>		
4	Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	<p>There was evidence that the LG health infrastructure construction project met the approved MoH Facility Infrastructure Designs as shown below:</p> <p>The site visited was Upgrade of Kakoma Health Centre II to Health Centre III, Lwengo under UGIFT Funding.</p> <p>The drawings were availed and found to include:</p> <p>Out Patient Department</p> <p>General Maternity Ward</p> <p>Placenta Pit</p> <p>Waste Pit</p> <p>VIP Toilet</p> <p>The maternity ward was sampled for compliance check showed that the measurements of 5.6m, 9.55m, 7.6m, 3.8m, 7.2m Grid spacing for columns and walling as shown in the drawings.</p> <p>Height of the Maternity Block from floor slab to 300mm Ring Beam was 2.6m as per the drawing.</p>	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>		

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was not accurate. There were discrepancies between positions on staff lists from the DHO and those on the 2021 staff lists at all the 3 sampled health facilities as reflected below:</p> <ol style="list-style-type: none"> <li>1. At Kinoni HCIII, all the 15 staff on the list at the facility dated 6th August 2021 were deployed there as reflected on the deployment list from the DHO dated 6th July 2021;</li> <li>2. Kyetume HCIII, 1 Assistant Nursing Officer on the staff list dated 1st September 2021 was not deployed to work there as reflected on the deployment list from the DHO dated 6th July 2021; and</li> <li>3. At Kyazanga HCIV, an Accounts Assistant was working as per the staff list dated 5th November 2021 but not deployed as shown in the list from the DHO while an Enrolled Midwife was deployed as shown on the list from the DHO but not working there.</li> </ol>	0
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>Not applicable since there were no upgraded or constructed health facilities in Lwengo District Local Government (DLG) in FY 2020/2021 .</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <p>• Score 2 or else 0</p>	<p>The health facilities prepared and submitted annual work plans and budgets to the DHO before the deadline of 31st March 2021 as reflected below:</p> <ol style="list-style-type: none"> <li>1. Kyetume HCIII submitted on 10th March 2021;</li> <li>2. Kiwangala HCIV submitted on 16th February 2021; and</li> <li>3. Naanywa HCIII submitted on 30th March 2021.</li> </ol>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>The health facilities prepared Annual Budget Performance Reports for the FY 2020/2021 before the deadline of 15th July 2021. The 3 sampled facilities (Kyetume HCIII, Kwangala HCIV and Naanywa HCIII) submitted as follows:</p> <ol style="list-style-type: none"> <li>1. Kyetume HCIII submitted on 14th July 2021;</li> <li>2. Kiwangala HCIV submitted on 1st July 2021; and</li> <li>3. Naanywa HCIII submitted on 7th July 2021.</li> </ol>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>The health facilities had developed implementation of facility Performance Improvement Plans (PIPs) and incorporated performance issues identified in assessment reports. All the 3 PIPs for the sampled health facilities including Nkoni HCIII, Kyazanga HCIV and St. Francis Mbirizi HCIII had issues identified in the DHT's monitoring reports as shown below:</p> <ol style="list-style-type: none"> <li>1. Nkoni HCIII - PIP dated 15th September 2021 included inadequate admission beds and stock out of some drugs as shown on page 2. These issues had been identified during the DHT's integrated support supervision as shown in reports for quarters 1 and 2 dated 22nd July 2021 on page 2 and 11th November 2020 on page 2;</li> <li>2. Kyazanga HCIV – the PIP dated 15th September 2021 included incomplete documentation of on page 3. This had been identified by the DHT as shown in the quarter 2 integrated support supervision report dated 21st January 2021 on page 1; and</li> <li>3. St. Francis Mbirizi HCIII – the PIP dated 1st July 2021 included poor postnatal attendance by mothers, reflected on page 3. This had been identified by the DHMT as shown on page 2 of the integrated supervision report dated 22nd June 2021 .</li> </ol>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%, • score 2 or else score 0</p>	<p>The health facilities submitted 100% up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). All the 3 sampled health facilities including Kiwangala HCIII, Lwengo HCIV and Nanywa HCIII reports were within 7 days following the end of each month and quarter.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0 Note: Municipalities submit to districts</p>	<p>The health facilities submitted 100% of the Results Based Financing (RBF) invoices timely (by 15th of the month following end of the quarter). All the 3 sampled health facilities submitted before the deadline as follows; Nkoni HCIII submitted on 5th October 2021, Kyazanga HCIV submitted on 6th October 2021, and St. Francis Mbirizi HCIII submitted on 5th October 2021.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The LG did not timely verify, compile and submit to MoH facility RBF invoices for all RBF health facilities. The DHO submitted the invoices for RBF on email as follows; 4th January 2021 for quarter one, 4th January 2021 for quarter 1, 28th April 2021 for quarter 3, and 28rd July 2021 for quarter 4. There was no record of delivery of quarter 2 invoices.</p>	0
<p>Maximum 14 points on this performance measure</p>				

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0

There was evidence that the LG compiled on time (by end of the first month of the following quarter) and submitted all quarterly (4) Budget Performance Reports.

The evidences extracted from the PBS were as follows:

Q-1 was submitted on 14th Oct. 2020 by the DHO, Dr. Doreen Ankunda

Q-2 was submitted on 12th Jan. 2021 by the DHO, Dr. Doreen Ankunda

Q-3 was submitted on 14th Apr. 2021 by the DHO, Dr. Doreen Ankunda

Q-4 was submitted on 12th Jul. 2021 by the DHO, Dr. Doreen Ankunda

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Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

h) Evidence that the LG has:  
i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0

The LG had an approved Performance Improvement Plan (PIP) that catered for the weakest performing health facility (Kimwanyi HCIII that had scored annual average 73.4%). Two tailored PIPs for Kimwanyi HCIII for quarters 1 and 2 were approved on 28th October 2020 and 28th January 2021 respectively.

1

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The LG implemented activities in PIPs for the weakest performing facility as reflected below:</p> <ol style="list-style-type: none"> <li>1. Purchase of essential drugs using PHC funds was planned in the quarter 1 PIP for Kimwanyi HCIII dated 28th October 2020 on page 2. This was implemented as the health facility procured drugs from Joint Medical Stores using PHC funds as reflected on page 2 of the Annual performance report for health facilities dated 2nd August 2021; and</li> <li>2. The engagement of the RBF Regional Coordinator Kimwanyi HCIII's failure to get funds was planned as noted in the quarter 1 of Kiwenyi HCIII for quarter 1 dated 28th October 2021. The National RBF Coordinator was engaged as noted on page 1 of the Annual RBF performance report for health facilities dated 2nd August 2020.</li> </ol>	1
<p>Maximum 14 points on this performance measure</p>				

**Human Resource Management and Development**

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has: i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was evidence that the LG budgeted following the guidelines. The budget catered for UGX 2,769,176,000 for health workers' salaries as shown in the performance contract.</p>	2
<p>Maximum 9 points on this performance measure</p>				
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>a) Evidence that the LG has: ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG had deployed 61% (185 out of 303) workers at all health facilities as per guidelines in accordance with staffing norms basing on the staff list dated 1st July 2020.</p>	0
<p>Maximum 9 points on this performance measure</p>				

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>Some of the health workers that were working at the 3 sampled health facilities were not deployed there as shown below:</p> <ol style="list-style-type: none"> <li>1. At Kyazanga HCIV, an Accountants Assistant was working but not deployed there as reflected on the staff list dated 1st November 2021 and deployment list of 6th July 2021, while an Enrolled Midwife was deployed but not working at the facility;</li> <li>2. At Kinoni HCIII, all the 15 deployed as per the deployment list from the DHO dated 6th July 2021 were working there as reflected on staff list; and</li> <li>3. At Kyentume HCIII, an Assistant Nursing Officer was working as reflected on the staff list at the facility dated 1st November 2021 but not deployed as indicated in the deployment list from the DHO dated 6th July 2021.</li> </ol>	0
	<p>Maximum 9 points on this performance measure</p>			
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The LG had publicized health workers deployment. All the 3 sampled health facilities had displayed the staff lists as follows;</p> <ol style="list-style-type: none"> <li>1. At Kinoni HCIII, a list with 15 staff was pinned in the OPD waiting area dated 6th August 2021,</li> <li>2. At Kyetume HCIII, a list dated 10st September 2021 with 15 staff was displayed on the notice boards in the OPD waiting area, and</li> <li>3. At Kyazanga HCIV, a duty roster with 31 staff dated 1st November was displayed on the notice board in the OPD waiting area.</li> </ol>	2
	<p>Maximum 9 points on this performance measure</p>			
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <ol style="list-style-type: none"> <li>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</li> </ol>	<p>There was evidence that the DHO conducted annual performance appraisal of all the 7 Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY. All the 7 In-charges were appraised as examples below;</p> <ol style="list-style-type: none"> <li>1. Kabeja Sarah, Kinoni HCIII was appraised on 07/07/2021,</li> <li>2. Nuwamanya Yasiin, Kiwangala HCIV was appraised on 20/07/2021,</li> <li>3. Bwanika John, Kyazanga HCIV was appraised on 09/07/2021.</li> </ol>	1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>Evidence available from the sampled 10 health workers showed that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY. For instance Nabyonga Justine, an Enrolled nurse at Kinoni HCIII was appraised on 05/07/2021, while Boonabana Percy, a Clinical Officer at Kiwangala HCIV was appraised on 26/07/202.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence to show whether corrective actions, if any, were taken based on the appraisal reports.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The LG conducted training of health workers (Continuous Professional Development) in accordance to the training plan at District. For instance, the following trainings were conducted as planned in the work plan dated 2nd July 2020:</p> <ol style="list-style-type: none"> <li>1. Cancer of the cervix screening and management training has a training, reflected on page 1 of the training plan was conducted in Kalisizo, attended to by 25 participants and reported on page 2; and</li> <li>2. Immunisation mentorships and supervision shown on page 1 of the plan were implemented as reflected on page 6 of a training report and was conducted in Mbale.</li> </ol>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The LG had documented training activities in the training /CPD database reflected in a file for trainings. The data base contained key information such as; type of training, time frame, training venue. Examples of trainings in the data base include:</p> <ol style="list-style-type: none"> <li>1. Emergency medical services training, conducted from 9th to 19th February 2021 and attended by 32 participants; and</li> <li>2. Cancer of the cervix screening and management held in Kalisizo from 17th to 23rd June 2021 and attended by 25 participants.</li> </ol>	1



9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The CAO did not confirm the list of health facilities (GoU and PNFP receiving PHC NWR grants) and notify the MoH in writing. No letter confirming the list of health facilities was available during the time of assessment.</p>	0
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9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>There was evidence that the LG allocated 15% pf PHC NWG Grant to monitoring &amp; supervision of management of District health services.</p> <p>The PHC Budget was UGX 364,408,000 (Page 23 of The Approved Budget) out of which a sum of UGX 53,912,000 representing 15% of the budget was allocated to Health Monitoring on Page 28 on the Approved Budget.</p>	2
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Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

There was the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget.

Quarter-1 Cash Limits were received on 14th Jul. 2020 and the funds were disbursed to the Health Facilities on 26th Aug. 2020 (43-days later); sampled vouchers include the following:

Voucher No. 31447542 dated 26th Aug. 2020 for a sum of UGX 7,817,202 disbursed to Kiwangala HC-IV

Voucher No. 31447552 dated 26th Aug. 2020 for a sum of UGX 1,954,301 disbursed to Kasaana HC-II

Voucher No. 31447537 dated 26th Aug. 2020 for a sum of UGX 1,954,301 disbursed to Kalegero HC-II

Quarter-2 Cash Limits were received on 8th Oct. 2020 and the funds were disbursed to the Health Facilities on 27th Oct. 2020 (19-days later); sampled vouchers include the following:

Voucher No. 32479104 dated 27th Oct. 2020 for a sum of UGX 1,954,301 disbursed to St. Francis HC-II

Voucher No. 32479103 dated 27th Oct. 2020 for a sum of UGX 977,150 disbursed to Kimanyi HC-II

Voucher No. 32577348 dated 27th Oct. 2020 for a sum of UGX 977,150 disbursed to Kitooro Luyembe HC-II

Quarter-3 Cash Limits were received on 11th Jan. 2021 and the funds were disbursed to the Health Facilities on 16th Apr. 2021 (3 months later); sampled vouchers include the following:

Voucher No. 35484340 dated 16th Apr. 2021 for a sum of UGX 977,150 disbursed to Makondo HC-II

Voucher No. 35484429 dated 16th Apr. 2021 for a sum of UGX 1,954,301 disbursed to Mbirize Muslim HC-II  
Voucher No. 35484329 dated 16th Apr. 2021 for a sum of UGX 1,954,301 disbursed to Nakateete HC-II

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

There was no evidence that the LG communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter.

All the invoicing and disbursements were made beyond 5-days from the date of receipt of funds releases.

Sampled vouchers include the following:

Quarter-1 Cash Limits were received on 14th Jul. 2020 and the funds were disbursed to the Health Facilities on 26th Aug. 2020 (43-days later); sampled vouchers include the following:

Voucher No. 31447542 dated 26th Aug. 2020 for a sum of UGX 7,817,202 disbursed to Kiwangala HC-IV

Voucher No. 31447552 dated 26th Aug. 2020 for a sum of UGX 1,954,301 disbursed to Kasaana HC-II

Voucher No. 31447537 dated 26th Aug. 2020 for a sum of UGX 1,954,301 disbursed to Kalegero HC-II

Quarter-2 Cash Limits were received on 8th Oct. 2020 and the funds were disbursed to the Health Facilities on 27th Oct. 2020 (19-days later); sampled vouchers include the following:

Voucher No. 32479104 dated 27th Oct. 2020 for a sum of UGX 1,954,301 disbursed to St. Francis HC-II

Voucher No. 32479103 dated 27th Oct. 2020 for a sum of UGX 977,150 disbursed to Kimanyi HC-II

Voucher No. 32577348 dated 27th Oct. 2020 for a sum of UGX 977,150 disbursed to Kitooro Luyembe HC-II

Quarter-3 Cash Limits were received on 11th Jan. 2021 and the funds were disbursed to the Health Facilities on 16th Apr. 2021 (3 months later); sampled vouchers include the following:

Voucher No. 35484340 dated 16th Apr. 2021 for a sum of UGX 977,150 disbursed to Makondo HC-II

Voucher No. 35484429 dated 16th Apr. 2021 for a sum of UGX 1,954,301 disbursed to Mbirize Muslim HC-II

Voucher No. 35484329 dated 16th Apr. 2021 for a sum of UGX 1,954,301 disbursed to Nakateete HC-II

The following two letters copied to Heads of Health Facilities seen but there was no evidence of delivery, distribution or circulation:

(un-referenced) 18th Aug. 2020 from CAO to DHO communicating receipt of UGX 58,629,026 for Q1

(un-referenced) 25th Nov. 2020 from CAO to DHO communicating receipt of UGX 58,629,026 for Q2

CAO's Letter Ref CR/D/167/1 8th Feb 2021 to In-charges HC I, II, III communicating Q3

UGX 51,384,569 of 8th May 2021 CAO to DHO Q3 UGX 48,758,280

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

The LG through the Assistant Accountant responsible for health, publicised all the quarterly financial releases to all health facilities after 5 working days from the date of receipt of the cash limits from MoFPED as presented below:

1. In quarter 1, communication was on 10th August 2020 as indicated on a filed letter and was 10 working days after the release of cash limits on 24th July 2020;
2. In quarter 2, communication to health facilities was on 25th November 2020 as per the filed letter which was 24 working days after the release of cash limits on 22nd October 2020;
3. In quarter 3, communication to health facilities was on 8th February 2021 as per the filed letter, which was 12 working days after the release of cash limits on 21st January 2021; and
4. In quarter 4, communication to health facilities was on 8th May 2021, 7 working days after the release of cash limits on 28th April 2021.

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

The LG health department implemented actions recommended by the DHMT quarterly performance review meetings held during the previous FY. For instance:

1. In the DHMT meeting held on 21st December 2020 under minute Min4/21/12/2020, it was recommended that the In-Charge of Kagganda HCII would write to the CAO notifying him about failure to receive drugs from National Medical Stores (NMS). This was implemented as reflected in the letter that the In-Charge of Kagganda HCII wrote to the CAO about lack of drug supplies from NMS dated 25th January 2021; and
2. In the DHMT meeting held on 26th March 2021 and recommended under minute 06/26/03/2021 that In-Charges together with Senior Assistant Secretaries (SASs) and Town Clerks (TCs) would review and functionalise the Health Unit Management Committees (HUMCs), it was recommended that the In-Charge of Kagganda HCII would write to the CAO notifying him about failure to receive drugs. This was implemented as shown in the report dated 26th May 2021 which showed that In-Charges worked with SASs and TCs to nominate names for HUMC members.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The LG quarterly performance review meetings did not involve all the 24 health facility In - Charges. Some of the health facility In-Charges missed some quarterly District Health Management Team (DHMT) review meetings. For instance:</p> <ol style="list-style-type: none"> <li>1. The In-Charge of Kimwanyi HCIII, Kagganda HCII and Nakateete HCII missed the DHMT review meeting held on 20th October 2020; and</li> <li>2. The In-Charges of Kyamaganda HCIII, Katovu HCII and St. Francis Mbirizi HCIII missed the DHMT review meeting held on 21st January 2021.</li> </ol>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The LG (District Health Team) supervised all the 3 Health Centre IVs in Lwengo District in each quarter. The quarterly supervision reports showed as follows:</p> <ol style="list-style-type: none"> <li>1. Lwengo HCIIV was supervised on; 24th July 2020 in quarter 1, 23rd November 2020 in quarter 2, 27th January 2021 in quarter 3, and 28th April 2021 in quarter 4;</li> <li>2. Kiwangala HCIIV was supervised on; 28 August 2020 in quarter 1, 28th October 2020 in quarter 2, 27th January 2021 in quarter 3, and 5th May 2021 in quarter 4; and</li> <li>3. Kyazanga HCIIV was supervised on; 21st July 2020 in quarter 1, 2nd November 2020 in quarter 2, 21st January 2021 in quarter 3, and 18th June 2021 in quarter 4.</li> </ol> <p>There was no hospital in the district.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>The DHT/MHT did not ensure that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous financial year. Among the 3 sampled health facilities, only Kinoni HCIII was supervised in all quarters. Katovu HCIII and Kyetume HCIII were not supervised in quarters 1, 2 and 3 as noted from the respective supervision reports dated 3rd October 2020, 4th January 2021 and 2nd April 2021.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG did not use results / reports from discussion of the support supervision and monitoring visits to make recommendations for specific corrective actions. No specific corrective actions based on recommendations from supervisions were identified at the time of assessment.</p>	0
	<p>Maximum 7 points on this performance measure</p>			
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was no evidence that the LG provided support to all health facilities in the management of medicines and health supplies in FY 2020/2021 as shown below:</p> <ol style="list-style-type: none"> <li>1. In quarter 1, Kyazanga HCIV, Kalegero HCII, Kagganda HCII and 6 other health facilities were not supervised as per records in supervision reports;</li> <li>2. In quarter 2, Kyazanga HCIV, Kakoma HCIII, Nakateete HCII and 11 other health facilities were not supervised as shown in the supervision reports;</li> <li>3. In quarter 3, Kyazanga HCIV, Kinoni HCIII, Kineene HCII and 13 other health facilities were not supervised as reflected in the reports; and</li> <li>4. In quarter 4, Kyazanga HCIV, Kineene HCIII, Ssenya HCII and 11 other health facilities were not supervised as reflected in the supervision reports.</li> </ol>	0
	<p>Maximum 7 points on this performance measure</p>			
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>There was no evidence that the LG allocated at least 30% of District Health Office budget to Health Promotion Activities:</p> <p>The of District Health Office Budget was UGX 112,930,000 (Page 24 of The Approved Budget) out of which a sum of UGX 13,000,000 representing 12% of the budget was allocated to Health Promotion Activities.</p>	0
	<p>Maximum 4 points on this performance measure</p>			

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY. For instance:</p> <ol style="list-style-type: none"> <li>1. The DHT planned and carried out awareness raising on communities on malaria and COVID – 19 prevention. This was reflected on pages 1 to 4 of a report titled “Community health dialogue on malaria and COVID 19 prevention” dated 30th July 2021. The activity was carried in quarter 4 financial year 2020/2021 ; and</li> <li>2. The DHT led sensitization of community members on malaria prevention, mass testing for malaria and treatment. This was reflected on pages 1 to 4 of a report titled “Community health promotion” dated 12th January 2021.</li> </ol>	1
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11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>The DHT did not follow up actions on health promotion and disease prevention issues. There was no evidence of follow up on actions at the time of assessment.</p>	0
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**Investment Management**

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG did not have an updated asset register that set out health facilities and equipment relative to basic standards.</p>	0
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));</p> <p>score 1 or else score 0</p>	<p>There was no evidence that desk appraisals were conducted for prioritized investments in the health sector for the FY 2020/2021. There were no Desk-Appraisal reports for the following three investments implemented:</p> <ul style="list-style-type: none"> <li>i. Fencing of Lwengo Health Center-IV valued at UGX 61,617,000 derived from the approved budget of FY 2020/2021 on page 27 and the DDP on page 141;</li> <li>ii. Renovation of Kyazanga Health Center-IV valued at UGX 21,503,000 derived from the approved budget of FY 2020/2021 on page 27 the DDP on page 142; and</li> <li>iii. Renovation of Lwengenyi and Kakoma Health Center-IIs valued at UGX 49,000,000 derived from the approved budget of FY 2020/2021 on Page 27 and the DDP on pages 143/144.</li> </ul>	0
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was no evidence that the LG had conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions.</p>	0



Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0

There was evidence that all health infrastructure projects for the previous FY (2020/2021 FY) were screened for environmental and social risks and mitigation measures put in place before being approved for construction and also complied with risk mitigation plans as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Renovation of Maternity Ward at Kyazanga HC IV, Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of Stone Masonry Wall at Kakoma HC III in Kyazanga Sub-county under DDEG Funding (LWEN/599/WRKS/2020-2021/00019), Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 300,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 1,300,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Renovation of Maternity Ward and Construction of Walkway at Kyazanga HC IV under PHC Funding, Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 800,000 UGX as cost for environmental and social mitigation measures.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the Procurement Plan for the Health sector was submitted after 30th April, 2021 : Health Procurement Plan submitted and received by PDU on 10th May. 2021</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>Form PP1 (Procurement Requisition) was submitted on 2nd August 2021, for the Maternity Ward, Construction of Generator House at DHO office submitted on 10th August 2021. Therefore, above required time frame.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the health infrastructure investment for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General before the commencement of construction. This was the Upgrading of Kakoma Health Centre II to Health Centre III, Lwengo UNDER UGIFT Funding. REF NO. MoH/UgIGFT/WRKS/2018-19/0001-20.</p> <p>Contracts Committee minutes for approval of project signed on 17th February 2021 under minute 0006/DCC/20-21(a) The approval letter from the Solicitor General: Karungi Betty Gafabusa was signed on 30th January 2019 because the contract sum is above the UGX: 200,000,000 threshold.</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p>	<p>There was evidence that the LG Project Implementation Team was established for the projects shown below:</p> <p>A Letter dated 15th September 2019 signed by the CAO showed the following appointed PIT for the Upgrade of Kakoma HCII to HCIII:</p> <p>Contract Manager: Dr Mutyogoma Joseph</p> <p>Project Manager: Mr. Kaweesi Robert</p> <p>Senior Environmental Officer: Mr. Mutemba Godfrey</p> <p>Community Development Officer: Mazinga Joseph</p> <p>SAS Kyazanga: Ssendagala John</p> <p>Chairperson HMC: Tamale Fred</p> <p>A letter dated 14th July 2020 signed by the CAO showed the following appointed PIT for the Construction Stone Masonry Retaining Wall in Kakoma HCIII:</p> <p>Contract Manager: Dr Kafube Moses</p> <p>Project Manager: Mr. Kaweesi Robert</p> <p>Senior Environmental Officer: Mr. Mutemba Godfrey</p> <p>Community Development Officer: Mazinga Joseph</p> <p>SAS Kyazanga: Ssendagala John</p> <p>Member HMC: Kalemera Henry</p>	1
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>		
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p>	<p>There was evidence that the health infrastructure followed the standard technical designs provided by the MoH as follows:</p> <p>Project: Upgrade of Kakoma Health Centre II to Health Centre III, funded by UGFT. REF NO. MoH/UgIGFT/WRKS/2018-19/0001-20.</p> <p>From the design drawings, the maternity ward was checked for compliance and the site visit revealed that measurements of 5.6m, 9.55m, 7.6m, 3.8m, 7.2m Grid spacing for columns and walling as shown in the drawings. The steel trusses were composed of rectangular hollow sections of 80X60, 60X40 and 40X40 square hollow sections. The screed floor finish constructed was as per the design details.</p> <p>Walls and floors showed no signs of structural cracking or settlement.</p>	1
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no clerk of works employed on all health the projects. Therefore there were no consolidated weekly reports at the LG. There was however evidence of monitoring and progress reports for the following projects:</p> <p>Project 1; Upgrade of Kakoma HCII to HCIII in Lwengo LG</p> <p>Inspection Reports were found to be updated as of 21st September 2020. complete with the site instructions from the supervision team to the contractor and photos.</p> <p>Project 2: Fencing of Lwengo HCIV under PHC Funding</p> <p>Inspection Reports were found to be updated as of 25th May 2021 complete with instructions from the supervision team to the contractor and photos.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that site meetings were held monthly as per guidelines. The established stakeholders were as shown in the attendance list from the Site meeting minutes in the Projects below:</p> <p>Project 1: Upgrade of Kakoma HCII to HCIII in Lwengo LG</p> <p>Site meeting minutes dated 12th March 2020, show the following PIT members in the attendance list; Project Manager / District Engineer, Environmental Officer, CAO, District Health Officer, RDC, Community Development Officer and Chair Person HMC.</p> <p>.Project 2: Construction of Stone Masonry Wall at Kakoma HCIII in Lwengo LG</p> <p>Site meeting minutes dated 28th March 2021, show the following PIT members in the attendance list; Project Manager / District Engineer, Environmental Officer, District Health Officer, Community Development Officer and SAS Kyazanga.</p> <p>Project 3: Fencing of Lwengo HCIV under PHC Funding</p> <p>Site meeting minutes dated 29th March 2021, show the following PIT members in the attendance list; Project Manager / District Engineer, Environmental Officer, District Health Officer, Community Development Officer and SAS Lwengo</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction,</p> <p>The Progress report for the LG health projects dated 29th March 2020 showed that the DHO, District Engineer, Environmental Officer, CDO and CAO supervised the following projects:</p> <ol style="list-style-type: none"> <li>1 Upgrade of Kakoma HCII to HCIII in Lwengo LG</li> <li>2 Construction of Stone Masonry Wall at Kakoma HCIII in Lwengo LG</li> <li>3 Fencing of Lwengo HCIV under PHC Funding'</li> </ol> <p>Site visitors books had been taken by the contractors after completion of the projects. The assessment therefore entirely depends on the progress reports obtained.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>There was evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days) as shown below:</p> <ol style="list-style-type: none"> <li>1-The Upgrading of Kakoma Health Centre II to Health Centre III, Lwengo UNDER UGIFT Funding REF NO. MoH/UgIGFT/WRKS/2018-19/0001-20</li> </ol> <p>Contractor's Requisition: P&amp;D Traders and Contractors Ltd sent a request was on 17th March 2021 amounting to UGX 480,982,127 signed by, DHO, District Engineer and CAO. Certified payment of 2 installments of UGX 76,239,964 was made on 24th March 2021 and that of 126,708,372 on 23rd June 2021. This lies within the required 2 weeks period for payment.</p> <p>2-Construction of a retaining wall at Kakoma HCIII DDEG Funded.</p> <p>Contractor's Requisition: TRIPAH Engineering Service Ltd request was on 20th May, 2020 amounting to UGX 45,353,000 signed by CAO, District Health Officer and District Engineer. Payment of UGX 43,046,915 was made on 4th June, 2021. This lies within the required 2 weeks period for payment.</p> <p>3-Fencing of Lwengo HCIV under PHC Funding. LWEN599/WRKS/20-21/000020</p> <p>Contractor's Requisition: FISCHER TECHNICAL SERVICES UG LTD request was on 14th June, 2021 amounting to UGX 30,088,500 signed by CAO, District Health Officer and District Engineer. Payment of UGX 28,283,190 was made on 29th June, 2021. This lies within the required 2 weeks period for payment.</p>	1

<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence that the LG had a complete procurement files for each health infrastructure projects shown below:</p> <p>Project 1- The Upgrading of Kakoma Health Centre II to Health Centre III, Lwengo UNDER UGIFT Funding. REF NO. MoH/UgIGFT/WRKS/2018-19/0001-20. Engineer's Estimate: UGX 462,179,849</p> <p>1 Works Contract sum of UGX 462,179,849 signed on 13th March. 2019.</p> <p>2 Evaluation Report Form 46, signed on 30th November 2018.</p> <p>3 Contracts Committee minutes for approval of project signed on 16th January 2019 under minute 0005/DCC/18-19</p> <p>Project 2-Construction of a retaining wall at Kakoma HCIII DDEG Funded. Engineer's Estimate: 46,550,000</p> <p>1 Works Contract sum of UGX 47,470,000 signed on 15th March. 2021</p> <p>2 Evaluation Report Form 46, signed on 15th February 2021</p> <p>3 Contracts Committee minutes for approval of project signed on 17th February 2021 under minute 0006/DCC/20-21(a)</p> <p>Project 3-Fencing of Lwengo HCIV under PHC Funding. LWEN599/WRKS/20-21/000020. Engineer's Estimate: 58,550,000</p> <p>1 Works Contract sum of UGX 57, 998,000 signed on 9th March. 2021</p> <p>2 Evaluation Report Form 46, signed on 13th February 2021</p> <p>3 Contracts Committee minutes for approval of project signed on 17th February 2021 under minute 0006/DCC/20-21(a)</p>
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## Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was evidence that Lwengo DLG Health grievances had been recorded, investigated, and responded to in line with the LG grievance redress framework as records of health sector related grievances/complaints were found in LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18. Health sector grievances included a complaint on poor service delivery at Kiwangala HC IV that is located in Kisekka Sub-county, Lwengo District.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was no evidence that Lwengo DLG Health Department had disseminated guidelines on healthcare/medical waste management to health facilities in the LG that included guidelines on construction of medical waste facilities and had followed up implementation of the healthcare waste management guidelines by health centers as the LG did not present distribution lists with acknowledgements of receipt for healthcare waste management guidelines by lower health facilities.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was no evidence that Lwengo DLG had a functional system/central infrastructures with equipment for medical waste management and had a dedicated/operational budget for healthcare waste management.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>The LG had not conducted training and awareness on health care waste management. No related training report was available at the time of assessment.</p>	0

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	There was no evidence that Lwengo DLG had Costed ESMPs and Environment Social Health and Safety safeguards incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY (2020/2021 FY) as Costed ESMPs and Environment Social Health and Safety safeguards were NOT incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY.	0
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	There was no evidence that Lwengo DLG had all health sector projects implemented on land where the LG had proof of ownership, access and availability, without any encumbrances on land acquisition status as no land titles, agreements, Memoranda of Understanding or consent letters from landowners for planned health infrastructure projects were provided by the LG.	0
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	<p>There was evidence that Lwengo DLG Environmental Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs and provided monthly reports as exemplified by the following projects:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 12/May/2021.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented a Report Titled 'Compliance Monitoring Report for Works on Health Facilities in FY 2020/2021 in the District', Dated 08/July/2021, Addressed to CAO, Signed by Senior Environmental Officer with Copies to: District Chairperson, Resident District Commissioner, Secretary Production and Natural Resources, File.</li> </ul>	2
	Maximum 8 points on this performance measure			



Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There was evidence that Lwengo DLG Environmental and Social Certification Forms were completed and signed by the LG Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented Final Certification No. NRC/001/05/2021 for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Signed and Stamped by Senior Environmental Officer and District Community Development Officer on 13/May/2021.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>According to Management Information System of the Ministry of Water and Environment, the functionality of Lwengo district rural water sources as of November 5th, 2021 is 80%.</p>	1
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water &amp; sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>According to Management Information System of the Ministry of Water and Environment the percentage of facilities with functional water and sanitation committees (document water user fee collection records and utilization with the approval of the WSCS) as of November 5th, 2021 is 90%.</p>	2

Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

a. The LG average  
score in the water and  
environment LLGs  
performance  
assessment for the  
current. FY.

If LG average scores  
is

a. Above 80% score 2

b. 60 -80%: 1

c. Below 60: 0

(Only applicable  
when LLG  
assessment starts)

This indicator is not applicable for Lwengo district because  
assessment of Lower Local Governments has not yet started  
in the District.

Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

b. % of budgeted  
water projects  
implemented in the  
sub-counties with  
safe water coverage  
below the district  
average in the  
previous FY.

o If 100 % of water  
projects are  
implemented in the  
targeted S/Cs: Score  
2

o If 80-99%: Score 1

o If below 80 %:  
Score 0

Lwengo District has six sub counties and two council namely  
Kkiigo Sub county (with a coverage of 95%); Kiseka Sub  
County (with a coverage of 95%); Lwengo sub county (with a  
coverage of 52%); Ndangwee Sub county (with a coverage  
of 88%); Kyazanga Sub county (with a coverage of 71%);  
Malongo Sub County (with a coverage of 50%), Kyazanga  
Town Council and Lwengo Town Council (under NWSC).  
Lwengo District has average water coverage of 75% which  
implies that four sub counties of Kyanzanga sub county (with  
a coverage of 71%); Lwengo Sub county (with a coverage of  
52%); and Kyazanga Sub County (with a coverage of 71%)  
and Malongo Sub county (with a coverage of 50%) have  
water coverage below the district average.

Fourth Quarter Report submitted under cover letter CR/185/1  
dated August 9th, 2021 and received at the Ministry of Water  
and Environment on August 16th, 2021. Letter was signed by  
Chief Administrative Officer, Aggrey Wnston Muramira with  
copies to Director, Budget, Ministry of Finance, Planning and  
Economic Development, Permanent Secretary – Ministry of  
Local Government, Resident District Commissioner -  
Lwengo; The District Chairperson, Lwengo District, Principal  
Internal Auditor, Lwengo District and TSU 7 was availed as  
evidence during the assessment.

According to this report, 30 projects were implemented in the  
district namely:

- Rehabilitation of boreholes (#15);
- Construction of Three 30 cubic meter tanks (#3);
- Construction of four 50 cubic meter tanks (#4);
- Construction of four 3,000 cubic meter tanks (#4);
- Installation of one mini solar powered water system/  
borehole and related extension (#1);
- Drilling of two deep wells (#2); and

- Construction of public 5- Stance latrine (#1)

According to the same report, 17 (56.7%) of the total 30 projects were implemented in the sub counties with water coverage below the district average. They included:

- Rehabilitation of boreholes (#09);
- Construction of Three 30 cubic meter tanks (#1);
- Construction of four 50 cubic meter tanks (#3);
- Construction of four 3,000 cubic meter valley tanks (#2);
- Installation of one mini solar powered water system/ borehole and related extension (#1);
- Construction of public 5- Stance latrine (#1)

This means that only 56.7% of planned water 30 projects were implemented in the sub-counties with safe water coverage below the district average in the previous FY.

2

Service Delivery  
Performance: Average score in the water and environment LLGs performance assessment

*Maximum 8 points on this performance measure*

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

There was evidence that variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates as shown below:

Project 1: Construction for Construction of 50m3 Capacity Masonry Tank Nyantungo. REF NO: LWEN 599/WRKS/20-21/000016.

Engineer's Estimate: UGX 27,350,000

Contact price: UGX 26,999,816

Percentage Variation = -1,2%

Project 2: Drilling of 2 Bore Holes in Kisekka and Kkingo Sub County. REF NO: LWEN 599/WRKS/20-21/00007

Engineer's Estimate: UGX 42,000,000

Contract price: UGX: 41,984,400

Percentage Variation = -0.03%

Project 3: Supply of Bore Hole Spares. REF NO: LWEN 599/SPLS/20-21/00008

Engineer's Estimate: UGX 55,870,000

Contract sum: UGX 55,583,900

Percentage Variation = -0.5%

2

Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

d. % of WSS  
infrastructure projects  
completed as per  
annual work plan by  
end of FY.

o If 100% projects  
completed: score 2

o If 80-99% projects  
completed: score 1

o If projects  
completed are below  
80%: 0

The Annual Work Plan 2020/2021 was submitted to Ministry of Water and Environment under cover letter CR/185/1 dated August 11th, 2020 and received at the Ministry of Water and Environment on August 14th, 2020. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7.

According to this work plan 30 projects were planned namely:

- Rehabilitation of boreholes (#15), at a cost of UGX 78,545,385;
- Construction of Three 30 cubic meter tanks (#3) at a cost of UGX 50,589,000;
- Construction of four 50 cubic meter tanks (#4) at a cost of UGX 111,448,000;
- Construction of four 3,000 cubic meter tanks (#4) one in each of Ndagwe, and Malango and two in Kyazanga, at a cost of UGX 220,000,000;
- Installation of one mini solar powered water system/ borehole and related extension (#1) in Lwengo sub county at a cost of UGX 150,395,000;
- Drilling of two deep wells (#2) one in each of Kisseka and Kingo sub counties both at a cost of UGX 42,300,000; and
- Construction of public 5- Stance latrine (#1) in Malongo sub county at a cost of UGX 24,000,000

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According to this Fourth Quarter Report, all 30 projects mentioned above were implemented before the end of the year 2020/2021.

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>According to Management Information System of the Ministry of Water and Environment, the functionality of the district rural water sources as of November 5th, 2021 is 80% while functionality in the previous year was 79%. This represents an increase of 1% in the functionality of water facilities in the district.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>According to Management Information System of the Ministry of Water and Environment the percentage of facilities with functional water and sanitation committees (document water user fee collection records and utilization with the approval of the WSCS) as of November 5th, 2021 is 90% while facilities with functional WSCs in the previous year was still 90%. This represents no increase (0%) in the functionality of water user committees in the district.</p>	0

**Performance Reporting and Performance Improvement**

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

*Maximum 3 points on this performance measure*

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

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The WSS facilities constructed in the previous FY were accurately reported upon as reflected in the Annual Progress Report.

The activities included:

- Rehabilitation of boreholes (#15);
- Construction of Three 30 cubic meter tanks (#3);
- Construction of four 50 cubic meter tanks (#4);
- Construction of four 3,000 cubic meter tanks (#4);
- Installation of one mini solar powered water system/ borehole and related extension (#1);
- Drilling of two deep wells (#2); and
- Construction of public 5- Stance latrine (#1)

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

- First Quarter Report submitted under cover letter CR/185/1 dated October 12th, 2020 and received at the Ministry of Water and Environment on October 16th, 2020. Letter was signed by For Chief Administrative Officer, Bwambale M A with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7
- Second Quarter Report submitted under cover letter CR/185/1 dated January 27th, 2021 and received at the Ministry of Water and Environment on February 1st, 2021. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7
- Third Quarter Report submitted under cover letter CR/185/1 dated April 13th, 2021 and received at the Ministry of Water and Environment on April 19th, 2021. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7
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The fourth Quarter reports had respective the respective quarterly data appended to it. Besides, other data was submitted to the Ministry under cover letter CR/D/103 and received at the Ministry of Water and Environment on August 16th, 2021 and September 14th, 2021.



Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

*Maximum 7 points on this performance measure*

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

- First Quarter Report submitted under cover letter CR/185/1 dated October 12th, 2020 and received at the Ministry of Water and Environment on October 16th, 2020. Letter was signed by For Chief Administrative Officer, Bwambale M A with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7
- Second Quarter Report submitted under cover letter CR/185/1 dated January 27th, 2021 and received at the Ministry of Water and Environment on February 1st, 2021. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7
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- Fourth Quarter Report submitted under cover letter CR/185/1 dated August 9th, 2021 and received at the Ministry of Water and Environment on August 16th, 2021. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7

Each four quarterly report had the respective software report attached. The fourth Quarter reports had respective the respective quarterly data appended to it. Besides, other data was submitted to the Ministry under cover letter CR/D/103 and received at the Ministry of Water and Environment on August 16th, 2021 and September 14th, 2021.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>This indicator is not applicable for Lwengo district because assessment of Lower Local Governments has not yet started in the District.</p>	0
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**Human Resource Management and Development**

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p>	<p>There was no evidence that the DWO had budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician. The staff salary was paid by the LG payroll.</p>	0
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6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was no evidence that the Environment and Natural Resources Officer had budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer. The staff salary was paid by the LG payroll.</p>	0
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7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that The DWO had appraised District Water Office staff against the agreed performance plans during the previous FY: For instance the Assistant DWO for mobilization, Justine Naiga, had been appraised on 01/07/2021,</p>	3
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The District Water Office did identify capacity needs of staff as part of the performance appraisal process. However, there were no training activities conducted and consequently, there were no Training Reports.</p>	0

**Management, Monitoring and Supervision of Services.**

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> <li>• a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:</li> <li>• • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3</li> <li>• • If 80-99%: Score 2</li> <li>• • If 60-79: Score 1</li> <li>• • If below 60 %: Score 0</li> </ul>	<p>Lwengo District has six sub counties and two council namely Kkiigo Sub county (with a coverage of 95%); Kiseka Sub County (with a coverage of 95%); Lwengo sub county (with a coverage of 52%); Ndangwee Sub county (with a coverage of 88%); Kyazanga Sub county (with a coverage of 71%); Malongo Sub County (with a coverage of 50%), Kyazanga Town Council and Lwengo Town Council (under NWSC). Lwengo District has average water coverage of 75% which implies that four sub counties of Kyanzanga sub county (with a coverage of 71%); Lwengo Sub county (with a coverage of 52%); and Kyazanga Sub County (with a coverage of 71%) and Malongo Sub county (with a coverage of 50%) have water coverage below the district average.</p> <p>The Annual Work Plan 2021/2022 was submitted to Ministry of Water and Environment under Cover letter CR/185/1 dated July 29th, 2021 and received at the Ministry of Water and Environment on August 16th, 2021. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7</p> <p>19 Hardware projects planned in 2021/2022 are contained on page 6 and 7 of the work plan and reflected in the Budget Annexed to the work plan at a cost of UGX 640,207,852. The projects include:</p> <ul style="list-style-type: none"> <li>• Construction of four 50 cubic meters community water tanks (#4) one in each on Malongo, Lwengo, Kyazanga, and</li> </ul>	0
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Kiseka Sub counties at a cost of UGX 111,874,432;

- Construction of two 30 cubic meters community water tanks (2#) one in each of Malongo and Kyazanga Sub counties at a cost of UGX 36,639,216
- Construction of two 3,000 cubic meters valley tanks (2#) one in each on Malongo and Lwengo Sub counties at a cost of UGX 134,000,000;
- Installation of one solar powered borehole (#1) in Ndagwe sub county at a cost of UGX 92,623,000;
- Drilling of one borehole (#1) in Kisekka sub county at a cost of UGX 22,468,602;
- Construction of one 3-stance water borne toilet (# 1) at District Headquarters at a cost of UGX 31,468,602;
- Construction of one 5-stance lined pit latrine (#1) in Ndagwe sub county at a cost of UGX 25,200,000; and
- Rehabilitation of six boreholes (#6) one in each of Kisekka, Kyazanga, Kkingo, Ndagwe, Malongo and Lwengo sub counties at a cost of UGX 58,000,000.
- Construction of piped water scheme/ Extension in Kingo at a cost of UGX 127,934,000

Of this amount, 283,545,040 (44% of the total budget) was budgeted for activities in sub counties with water coverage below the district average coverage. These activities included:

- Construction of three 50 cubic meters community water tanks (#3) one in each on Malongo, Lwengo, and Kyazanga Sub counties at a cost of UGX 83,905,824;
- Construction of two 30 cubic meters community water tanks (2#) one in each of Malongo and Kyazanga Sub counties at a cost of UGX 36,639,216;
- Construction of two 3,000 cubic meters valley tanks (2#) one in each on Malongo and Lwengo Sub counties at a cost of UGX 134,000,000; and
  - Rehabilitation of three boreholes (#3) one in each of Kyazanga, Malongo and Lwengo sub counties at a cost of UGX 29,000,000

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

There is no evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current Financial year was by way of communication to the Sub County Chiefs. There was no copy of the notification which was pinned on the District notice board. The information (titled public notice) pinned on the wall inside the Water Officer had no indication of date when notification had been made.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

• If 95% and above of the WSS facilities monitored quarterly: score 4

• If 80-94% of the WSS facilities monitored quarterly: score 2

• If less than 80% of the WSS facilities monitored quarterly: Score 0

Evidence that the district Water Office has monitored each of WSS facilities was presented in way of Quarterly work plans as outlined below:

- Quarter Four 2020-2021 activity work plan dated on April 13th, 2021 and respective Quarter Four 2020-2021 activity report dated on July 12th, 2021;
- Quarter Two 2020-2021 activity work plan dated on October 13th, 2020;
- Quarter Three 2020-2021 activity report dated on May 25th, 2021; and
- Quarter One 2020-2021 activity work plan dated on August 11th, 2020 and respective Quarter One 2020-2021 activity report dated on October 13th, 2020.

Also presented for review were Inspection reports that are outlined below:

- Inspection report on Construction of 50 cubic meter underground Tanks on June 2nd, 2021 signed by Namutinda Charles Assistant Engineer-ADWO;
- Inspection report on Installation of Mini Solar piped water scheme on June 17th, 2021 signed by Lugalambi Frerio T. – District Water Officer;
- Inspection report on construction of 5-stance VIP latrine on June 7th, 2021 signed by Lugalambi Frerio T. – District Water Officer; and
- Inspection report on construction of four 3,000 cubic meter valley tanks on April 06th, 2021 signed by Lugalambi Frerio T. – District Water Officer.

Reports were also provided for review on the related software activities including the formation of the respective water user committees as well as site meetings that included:

- Minutes of Site meeting at Lyangoma Village, Kyazanga sub county which was held on February 05th, 2021; and
- Minutes of Site meeting at Katosi Mini Solar Piped scheme, Katosi Village, Lwengo sub county which was held on May 21st, 2021

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

Evidence that the DWO conducted quarterly DWSCC meetings was presented to the assessors for review. The evidence comprised of Minutes that was contained in the software reports attached to the respective Quarterly reports as outlined below:

- First Quarter Report submitted under cover letter CR/185/1 dated October 12th, 2020 and received at the Ministry of Water and Environment on October 16th, 2020. Letter was signed by For Chief Administrative Officer, Bwambale M A with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7
- Second Quarter Report submitted under cover letter CR/185/1 dated January 27th, 2021 and received at the Ministry of Water and Environment on February 1st, 2021. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7
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Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There was no evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current financial year.

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> <li>• If funds were allocated score 3</li> <li>• If not score 0</li> </ul>	<p>The Annual Work Plan 2020/2021 was submitted to Ministry of Water and Environment under cover letter CR/185/1 dated August 11th, 2020 and received at the Ministry of Water and Environment on August 14th, 2020. Letter was signed by Chief Administrative Officer, Aggrey Wnston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7.</p> <p>According budget attached to the work plan, NWR budget was UGX 72,645,519 of which UGX 46,269,519/= (UGX 14,880,000/= for activities 1.1-1.3.2 and UGX 31,389,519 for activities 6.1-6.14.2 on which represents 44% was allocated for software activities.</p>	3
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10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&amp;M of WSS facilities: Score 3.</p>	<p>A file with software reports on training of water user committees was presented. Training report for three sub counties were analyzed during the assessment as outlined below:</p> <ul style="list-style-type: none"> <li>• Training Report for Ndangwe Sub County was dated March 11th, 2021 and prepared by Magembe Julius – CDO Ndangwe Sub County. 21 water user committee members (9 female and 12 male) from 03 water sources were trained.</li> <li>• Training Report for Lwengo Sub County was dated January 16th, 2021 and prepared by Mbaziira Charles – CDO Lwengo Sub County. 14 water user committee members (4 female and 10 male) from 02 water sources were trained.</li> <li>• Training Report for Malongo Sub County was dated January 18th, 2021 and prepared by Namatta Annet Birungi – CDO Malongo Sub County. 21 water user committee members (6 female and 15 male) from 03 water sources were trained.</li> </ul>	3
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**Investment Management**

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>A list of asset containing water supply and sanitation facilities by location and LLG date was provided during the assessment. These were contained in Form 1 for the new ones and Form 4 for the existing. The last updates for the new ones (Form 1) were submitted to the Ministry of Water and Environment on August 16th, 2021 while for the old ones (Form 4) they were sent to the Ministry of Water and Environment on September 14th, 2021 where it was received on September 20th, 2021.</p>	4
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11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p>	<p>There was no evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG).</p>	0
		<p>Score 4 or else score 0.</p>		
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The Annual Work Plan 2021/2022 was submitted to Ministry of Water and Environment under Cover letter CR/185/1 dated July 29th, 2021 and received at the Ministry of Water and Environment on August 16th, 2021. Letter was signed by Chief Administrative Officer, Aggrey Winston Muramira with copies to Director, Budget, Ministry of Finance, Planning and Economic Development, Permanent Secretary – Ministry of Local Government, Resident District Commissioner - Lwengo; The District Chairperson, Lwengo District, Principal Internal Auditor, Lwengo District and TSU 7</p> <p>According to this plan and associated budget, 19 Hardware projects are planned in 2021/2022 as contained on page 6 and 7 of the work plan and reflected in the Budget Annexed to the work plan. The projects include:</p> <ul style="list-style-type: none"> <li>• Construction of four 50 cubic meters community water tanks (#4) one in each on Malongo, Lwengo, Kyazanga, and Kiseka Sub counties at a cost of UGX 111,874,432;</li> <li>• Construction of two 30 cubic meters community water tanks (2#) one in each of Malongo and Kyazanga Sub counties at a cost of UGX 36,639,216</li> <li>• Construction of two 3,000 cubic meters valley tanks (2#) one in each on Malongo and Lwengo Sub counties at a cost</li> </ul>	2



of UGX 134,000,000;

- Installation of one solar powered borehole (#1) in Ndagwe sub county at a cost of UGX 92,623,000;
- Drilling of one borehole (#1) in Kisekka sub county at a cost of UGX22,468,602;
- Construction of one 3-stance water borne toilet (# 1) at District Headquarters at a cost of UGX 31,468,602;
- Construction of one 5-stance lined pit latrine (#1) in Ndagwe sub county at a cost of UGX 25,200,000; and
- Rehabilitation of six boreholes (#6) one in each of Kisseka, Kyazanga, Kkingo, Ndagwe, Malongo and Lwengo sub counties at a cost of UGX 58,000,000.
- Construction of piped water scheme/ Extension in Kingo at a cost of UGX 127,934,000/=

From the community application file, the respective application forms were availed for review and verification during the assessment. Five of the application forms are shown below for illustration:

- Application form for Construction of one 5-stance lined pit latrine (#1) in Ndagwe sub county was presented. It was signed by LC I Chairman Nambirizi, Ndagwe sub county on March 16th, 2021.
- Application form for Construction of 3,000 valley Tank (#1) in Kijaaba B Kyazanga sub county was presented. It was signed by LC I Chairman Kijaaba B, Kyazanga Sub County on September 05th, 2021.
- Application form for Construction of 50 cubic meters community water tanks in Kakoma HC III, Kijaaba TC B Kyazanga sub county was presented. It was signed by Baguma Godfrey LC I Chairman Kijaaba TC, Kyazanga Sub County on September 05th, 2021.
- Application form for Installation of one solar powered borehole (#1) in Kibuye village, Ndagwe sub county was presented. It was signed by Majwege Gerald, LC I Chairman Kibuye Village, Ndagwe sub County on March 12th, 2021.
- Application form for drilling of borehole (#1) in Nakawanga village, Kisekka sub county was presented. It was signed by Nkuke Paul, LC I Chairman Nakawanga Village on January 29th, 2021.

From above all planned intervention for 2021/2022 have respective application forms.

11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>There was evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability for projects implemented in the year The district, conducted social screening for all investment/infrastructure projects implemented during the year. A report prepared by Mazinga Joseph (The DCDO) dated 14th Apr. 2021 is in place</p> <p>Environmental Screening report for investment and infrastructure projects in Water, Health and Education Sectors dated 30th Nov. 2020 is available in the file; prepared and signed by Mutumba Godfrey (The Sen. Environmental Officer) and Mazinga Joseph (The DCDO)</p> <p>A Report on Technical Feasibility study on all District Development projects was prepared by the District Engineer Mr. Kaweesi Robert on 14th Oct. 2019 (during the planning period for 2020/2021)</p> <p>And a separate report had been prepared by the District Engineer for projects in the Education Sector on the same date (un-referenced reports)</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There WAS Evidence that all water infrastructure projects for the current FY (2021/2022 FY) were screened for environmental and social risks/impacts and ESIA/ESMPs prepared before the projects were approved for construction as exemplified by the following projects:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of a Mini Solar Powered Piped Water Scheme (Installation of One (1) Motorized Solar Powered Borehole) at Kibuye Village in Ndagwe Sub-county under DWSCG Funding (LWEN/599/WRKS/2021-2022/00008), Dated 13/08/2021; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of 3000 M3 Valley Tank at Kyamparakata Village in Malongo Sub-county under DWSCG Funding (LWEN/599/WRKS/2021-2022/00005), Dated 13/08/2021; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of 3000 M3 Valley Tank at Kito Village in Lwengo Sub-county under DWSCG Funding (LWEN/599/WRKS/2021-2022/00005), Dated 13/08/2021; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of a Mini Solar Powered Piped Water Scheme (Installation of One (1) Motorized Solar Powered Borehole) at Kibuye Village in Ndagwe Sub-county under DWSCG Funding</li> </ul>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
	<p><i>Maximum 14 points on this performance measure</i></p>			

(LWEN/599/WRKS/2021-2022/00008), Dated 13/10/2021; Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey; Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 1,500,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of 3000 M3 Valley Tank at Kyamparakata Village in Malongo Sub-county under DWSCG Funding (LWEN/599/WRKS/2021-2022/00005), Dated 13/10/2021; Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey; Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 1,550,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of 3000 M3 Valley Tank at Kito Village in Lwengo Sub-county under DWSCG Funding (LWEN/599/WRKS/2021-2022/00005), Dated 13/10/2021; Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey; Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 1,550,000 UGX as cost for environmental and social mitigation measures.

- Construction of Two (2) 3000 M3 Valley Tanks in Lwengo and Malongo Sub-counties under DWSCG Funding (LWEN/599/WRKS/2021-2022/00005). Costed ESMP was indicated in the Bid Document as Bill No. 3 in the Bill of Quantities under Section 3.18 with UGX 680,000 as costing of the additional impact from climate change and costing of additional costs for addressing climate change adaptation, Signed and Stamped by the Contractor - Dadasa Services Limited on 08/October/2021.

- Construction of a Mini Solar Powered Piped Water Scheme (Installation of One (1) Motorized Solar Powered Borehole) at Kibuye Village in Ndagwe Sub-county under DWSCG Funding (LWEN/599/WRKS/2021-2022/00008). Costed ESMP was indicated in the Bid Document in the Bill of Quantities under Section 2.7 with UGX 150,000 for environmental mitigation measures, Signed by the Contractor - Karobs Enterprises Limited on 05/October/2021.

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that WSS Projects were included in the current FY Plan. The water sector Procurement Plan was submitted and received by PDU on 10th May 2021.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence in that water Projects were approved by the Contracts committee as follows:</p> <p>Project 1: Construction for Construction of 50m3 Capacity Masonry Tank Nyantungo. REF NO: LWEN 599/WRKS/20-21/000016.</p> <p>Contracts committee minutes were signed on 17th February 2021 under minute 00006/DDC/20-21(a)</p> <p>Project 2: Supply of Bore Hole Spares. REF NO: LWEN 599/SPLS/20-21/00008</p> <p>Contracts committee minutes for approval were signed on 5th October, 2020 under minute 00005/DCC/20-21a)</p> <p>Project 3: Drilling of 2 Bore Holes in Kisekka and Kkingo Sub County. REF NO: LWEN 599/WRKS/20-21/00007</p> <p>Contracts committee minutes for approval were signed on 5th October 2020 under minute 00005/DCC/20-21(a)</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence that the LG established the project implementation team for all water projects. This included:</p> <p>Project Supervisor/ DWO: Mr. Lugalabi Frerrio</p> <p>Project Manager: Mr Namuntinda Charles</p> <p>Environmental Officer: Mr Mutemba Godfrey</p> <p>Community Development Officer: Bukenya Justine</p> <p>The appointment of the Project Implementation Team , by the CAO for all water projects was dated 15th September 2019</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2

There was evidence of Technical Designs followed for the Projects executed within the previous financial year evidenced by the Projects files for each of the Projects below;

Project 1: Construction for Construction of 50m3 Capacity Masonry Tank Nyantungo. REF NO: LWEN 599/WRKS/20-21/000016

The designed Drawing showed the Drawing, that the 50m3 Capacity Tank was made of a wall thickness of 100mm with a 200mm hardcore base. This matched the site photos from the in the Supervision Reports alongside site measurements.

Project 2: Drilling of 2 Bore Holes in Kisekka and Kkingo Sub County. REF NO: LWEN 599/WRKS/20-21/00007

The design drawing showed a 400mm thick masonry wall in a circular dig out. There was a centre MS steel circular hollow section for the borehole to be placed to water table. Site supervision Site Pictures, measurements and reports showed the construction done as per the design

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

There was evidence of at least one meeting where the following PIT members supervised and certified works:

Project: Construction of Katosi Mini Solar Powered Bore Hole

Site Meeting dated 21st May,2021 shows the following supervision Team in the attendance list; District Engineer, District Water Officer, Environmental Officer, Community Development Officer

Site Meeting dated 5th February,2021 at the Site in Lyangoma Village, shows the following supervision Team in the attendance list; District Engineer, District Water Officer, Environmental Officer and the Community Development Officer.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

There was evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts.

Project 1: Construction for Construction of 50m3 Capacity Masonry Tank Nyantungo REF NO: LWEN 599/WRKS/20-21/000016

Contractor's Requisition: THORNS ENGINEERING SERVICES Ltd request was on 28th May 2021 amounting to UGX 26,999,816 signed by CAO, CFO, District Engineer and District Water Officer. Certificate Provided was signed by District Engineer, District Water Officer, Senior Environmental Officer and CAO on 14th June 2021. Payment of UGX 23,781,555. was made on 28th June 2021. This lies within the required 2 months period for payment.

Project 2: Drilling of 2 Bore Holes in Kisekka and Kkingo Sub County, REF NO: LWEN 599/WRKS/20-21/00007

Contractor's Requisition: Doshnut Uganda Ltd request was on 26th April 2021 amounting to UGX 39,885,180 signed by CAO, and District Water Officer. Certificate Provided was signed by District Engineer, Senior Environmental Officer District Water Officer and CAO on 27th April 2021. Payment of UGX 37,857,120. was made on 14th June 2021. This lies within the required 2 months period for payment.

Project 3: Supply of Bore Hole Spares. REF NO: LWEN 599/SPLS/20-21/00008

Contractor's Requisition: Matsazan Company Limited request was on 27th January 2021 amounting to UGX 55,583,900 signed by CAO. Certificate Provided was signed by District Engineer, District Water Officer and CAO on 10th February, 2021. Payment of UGX 52,757,600 was made on 24th March 2021. This lies within the required 2 months period for payment.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

There was evidence that all the Procurement files had the relevant records as per the PPDA law as shown in the sampled projects below;

Project 1: Construction for Construction of 50m3 Capacity Masonry Tank Nyantungo. REF NO: LWEN 599/WRKS/20-21/000016. Engineer's Estimate: 27,350,000

1. Contact sum of UGX: 26,999,816 for a works Contract signed, 22nd March 2021.

2. Evaluation Report PP Form 46, signed on 15th February 2021

3. Contracts committee minutes signed on 17th February 2021 under minute 00006/DDC/20-21(a)

Project 2: Supply of Bore Hole Spwares; REF NO: LWEN 599/SPLS/20-21/00008. Engineer's Estimate: 55,870,000

1. Contract sum: UGX 55,583,900 for a works contract signed on 11th January, 2021

2. Evaluation report, PP Form 46: 2nd October 2020

3. Contracts committee minutes for approval signed on 5th October, 2020 under minute 00005/DCC/20-21a)

## Environment and Social Requirements

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

*Maximum 3 points this performance measure*

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

There WAS Evidence that Lwengo DLG Water Supply, Sanitation Services and Environment related grievances had been recorded, investigated, and responded to in line with the LG grievance redress framework as records of water sector related grievances/complaints were found in LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18. Water sector grievances included a case where one resident (Kateera Robert) wanted to have rights to use water for irrigation for domestic purposes; the case was referred to Ministry of Water and Environment with a request for extension of water to his village (Case Reference Number: LDCR/2021/14 dated 12/03/2021). Another case concerned Magembe Julius, a resident of Nkoni Village in Kkingo Sub-county, who complained about land trespass during installation of a borehole, Water Umbrella had engaged the complainant by the time the Assessor visited the LG (Case Reference Number: LDCR/2021/18 dated 04/05/2021). Yet another case concerned Kizinge John of Ndagwe Sub-county, who complained about failure by the community to appreciate him, District Water Officer (DWO) held a meeting with the LC I and the Contractor gave a partial contribution to allow works to go on (Case Reference Number: LDCR/2021/16 dated 20/04/2021).

14	Safeguards for service delivery  <i>Maximum 3 points on this performance measure</i>	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:  Score 3, If not score 0	There was NO Evidence that Lwengo DLG DWO and Environment Officer had disseminated guidelines on water source and catchment protection and natural resource management to CDOs as the guidelines themselves, minutes of meetings with CDOs and signed acknowledgements of receipt of the guidelines by CDOs were NOT available.	0
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	There was NO Evidence that Lwengo DLG water source protection plans and natural resource management plans for Water Supply and Sanitation Services facilities and infrastructure projects constructed during the previous FY (2020/2021 FY) were prepared and implemented as the plans themselves, site visit reports and monthly monitoring reports were NOT available.	0
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:  Score 3, If not score 0	There was evidence that provided that WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances. To that effect, three projects were sampled namely: <ul style="list-style-type: none"> <li>• Valley Tank for Nagangabo in Kyazanga Sub County – Land offer agreement was presented. It was signed by Kagyenda David the Land Owner Mr. Kasomba Andrew (Tel 0752552632/ 0780809233) on one side and MrByarugaba Juma (Tel 0752483094, LC 1 Chairman on October 05th, 2020;</li> <li>• For land in Katosi borehole with solar – Land Offer Agreement was presented. It was signed by Sebagala Donozio Land Owner on one side and Migabo Emmanuel LCI Chairman on the other. It was signed on October 31st, 2020</li> <li>• Land for Borehole Drilling at Nakawanga in Kisseka Sub County. Land Offer Agreement was signed on November 10th, 2020 by Yiga Bernard and Nsamba Pasco (Tel 0753164941- Land Owners on one side and Nkuke Paul (Tel 0785834678) LCI Chairperson on the other.</li> </ul>	3



15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:  Score 2, If not score 0	There was no evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:	0
15	Safeguards in the Delivery of Investments  <i>Maximum 10 points on this performance measure</i>	d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:  Score 2, If not score 0	There WAS Evidence that Lwengo DLG Environmental Officer and CDO conducted monitoring of Water Supply and Sanitation Services infrastructure projects to ascertain compliance with ESMPs and to verify implementation of mitigation measures as exemplified by the following projects:  - Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Reports for Water Projects that included: Construction of Rain Water Harvesting 50 M3 Brick Masonry Water Tank at Kyanjovu P/S (Nakitokolo) in Nkunyu Parish, Lwengo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00015), Drilling and Installation of One (1) Borehole at Nakawanga Village in Kkingo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00007), Dated 25/May/2021, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.  - Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/10/05/2021 for Construction of Rain Water Harvesting 50 M3 Brick Masonry Water Tank at Kyanjovu P/S (Nakitokolo) in Nkunyu Parish, Lwengo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00015), Dated 27/May/2021, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.  - Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Mitigation Certificate for Drilling and Installation of One (1) Borehole at Nakawanga Village in Kkingo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00007), Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.	2

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>There was evidence that Lwengo LG had up-to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries. In two (2) documents entitled "Potential for micro and small-scale irrigation development: District-level assessment based on a water availability and technical suitability" dated October 2019 and "LWENGO DISTRICT IRRIGATED DATA" dated November 2020 prepared by Lwengo LG District Senior Agricultural Engineer, the irrigated area in Lwengo LG in the FY 2019/2020 was 706 acres and the irrigated area in the FY 2020/2021 was 953 acres. Of the total irrigated area of 953 acres, only 2 acres were micro-scale irrigation grant beneficiaries.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>There was evidence that Lwengo LG had increased acreage of newly irrigated land in FY 2020/2021 as compared to FY2019/2020. From the documents mentioned in performance measure 1a, the irrigated area in the FY 2019/2020 was 706 acres while in the FY 2020/2021, it was 953 acres. Therefore, the increase in irrigated area was:</p> <p><math>(953-706)/706*100=34.98\%</math>.</p>	2
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>There was evidence that the development component of the micro-scale irrigation grant had been used on eligible activities. In the approved budget performance report dated 28/06/2021, the development component of micro-scale irrigation was used on eligible activities costing a total of UgX. 950.643 million.</p>	2

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	<p>There was evidence that the approved farmers signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers.</p> <p>1. Mr. Mukasa Henry K. (of Makondo village) signed the Acceptance Form on 14th Jun. 2021 before payment was made</p> <p>Voucher No.37223633 of 23rd Jun. 2021 Firm-Biz Consults Limited</p> <p>UGX 25,394,014 -setting up Irrigation Demo Farm in Lwengo</p> <p>2. Mr. Racheal Namato (of Nkoni Village) signed on 12th April 2021 before payment was made</p> <p>Voucher No.36070062 of 28th Apr. 2021 Firm-Biz Consults Limited</p> <p>UGX 17,858,857 -setting up Irrigation Demo Farm in Lwengo</p>	1
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0</p>	<p>There was evidence that the variations in the contract price were within +/-20% of the Agriculture Engineers estimates. The BOQs of the designs prepared by the senior agricultural engineer seen indicated a cost of UgX. 20 million. The supplier contracts made on 10/03/2021 between Lwengo LG and FRIMBIZ CONSULTS LTD signed by witnesses: Nandaula Allen (DPO LWENGO LG) and Allan Twijukye as the contract director indicated a cost of UgX. 19,998, 729. The engineering designs and layout corresponding to the BOQs that were prepared by the senior agricultural Engineer Lwengo LG for irrigation demo site in Ndagwe sub-county, Makando parish, Kiganju village seen. The variation in the senior agricultural engineers cost estimate and the contractors estimate was: <math>(19,998,729-20,000,000)/ 20,000,000) = -0.006\%</math>.</p>	1
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<p>There was evidence that micro-scale irrigation equipment where contracts were signed during FY 2020/2021 were installed within the FY 2020/2021. The supplier contract agreement amounting UgX 19,998,720 made was made on 10/03/2021 between Lwengo LG and FRIMBIZ CONSULTS LTD signed by witnesses: Nandaula Allen (DPO LWENGO LG) and Allan Twijukye as the contract director. Further evidence was seen in a report "Method demo establishment report" dated 31/05/2021 whose objective was: to teach and show case irrigation technologies to farmers and extension staff has evidence that the demo site in Ndagwe sub-county was completed within the FY 2020/2021.</p>	2

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards  Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure  • If 100% score 2  • If 75 – 99% score 1  • If below 75% score 0	There was evidence that the LG had recruited 69% of LLG extension workers as per staffing structure, which provided for 3 extension workers per LLG, making it 30 workers in total. The actual establishment was 20 extension staff.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards  Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF  • If 100% score 2 or else score 0	There was evidence that the micro-scale irrigation equipment met the standards by MAAIF. During the assessment, field visits of the two completed irrigation demo sites in 1) Ndagwe sub-county, makando parish, kiganju village, and 2) Kkingo sub-county, nkoni parish, kabwami village were conducted. In demo site 1, there are three water application methods namely: Rain gun system, drag hose, and sprinkler methods. These water methods were installed in a 1-acre piece of land containing coffee as the main crop and bananas and beans as the secondary crop. In demo site 2, there were three water application methods namely: drip, sprinkler, and drag hose. These equipment and the irrigation system were of an acceptable standard.	2
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards  Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional  • If 100% are functional score 2 or else score 0	There was evidence that the installed micro-scale irrigation systems during last FY were functional. On 09/11/2021, field visits to the two demo sites in the company of the senior agricultural engineer and the respective LLG extension officers indicated that the irrigation demos were functional and videos and photos taken when they were operating are evidence.	2

### Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information  Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was evidence that information on position of extension workers filled was accurate. The sampled LLGs were Lwengo T/C, Lwengo S/C, and Kisseka S/C. All had displayed a list of extension workers with their contacts on the notice boards. For instance the Agricultural Officer for Kisseka, Kyagaba Christopher, had organized training of farmers in income generating enterprises in crops farming, and value addition. He had made farm visits to follow up on previously established enterprises of coffee, maize, and bananas.	2
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>There was evidence that information on micro-scale irrigation systems were installed and functioning. In demo site 1, there were three water application methods namely: Rain gun system, drag hose, and sprinkler methods. These water methods were installed in a 1-acre piece of land containing coffee as the main crop and bananas and beans as the secondary crop. In demo site 2, there were three water application methods namely: drip, sprinkler, and drag hose. The equipment and the irrigation systems were fully functional. The equipment installed matched the equipment in the inventory book of micro-irrigation for Lwengo LG.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>There was evidence that information was collected quarterly on newly irrigated land, the functionality of irrigation equipment installed; provision of complementary services, and farmer Expression of Interest. This evidence was seen in quarterly reports dated: 25/01/2021, 27/04/2021, 2/07/2021, and 04/11/2021 seen. By the date of the assessment, Lwengo LG had registered a total EOI of 435 farmers against a target of 216 farmers according the fourth quarterly report dated 13/07/2021.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>There was evidence that Lwengo LG had entered up-to-date LLG information into MIS. This evidence was contained in printed MIS reports where data had been entered into MIS/Irritrack for the period 01/07/2020 to 28/09/202. The MIS report showed a total of 426 farm visits from the 9 LLGs.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>There was evidence that the LG had prepared the quarterly reports using information compiled from LLGs in the MIS. Quarterly reports prepared by the senior Agricultural engineer using information from MIS dated 27/01/2021, 27/05/2021, 13/07/ 2021 were seen.</p>	1

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	d) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	There was no evidence that Lwengo LG had developed a Performance Improvement Plan for the lowest-performing LLGs.	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no evidence that Lwengo LG had implemented a Performance Improvement Plan for lowest-performing LLGs. This is justified by the fact that they had no PIP in 6 d (i) to implement.	0

### Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	a) Evidence that the LG has:  i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence that Lwengo LG had budgeted for extension workers as per guidelines. In the approved budgets for Lwengo LG for the FY 2020/2021, the budget for extension workers for the eight sub-counties amounted to a total of UgX. 84 million.	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	There was evidence that Lwengo LG had deployed extension workers as per guidelines. In the production department staff list seen dated 30/06/2021 and prepared by the DPO, Nandaula Allen. There were names and also the status of all extension workers in all the sub-counties.	1

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was evidence that extension workers are working in LLGs where they are deployed. In all the 3 sample LLGs of Lwengo T/C, Lwengo and Kisekka S/Cs, extension workers were in place, and working. This was seen from the attendance registers and staff list displayed on the notice boards. They attended technical planning meetings, where they discussed reports, as per their work plans.	2
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	A circular obtained from CAO's office dated 20/06/2021 provided evidence that extension workers' deployment had been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. The same list was displayed on noticeboards of the sampled LLGs of Lwengo T/C, Lwengo and Kisekka S/Cs. The copy obtained was the same as that found in the LLGs.	2
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers  Maximum score 4	a) Evidence that the District Production Coordinator has:  i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was evidence that the District Production Coordinator had conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY. Examples included;  1. Nakuya Angera was appraised on 30/06/2021, 2. Mukasa Timothy was appraised on 21/07/2021, 3. Sewanyana Vincent was appraised on 01/07/2021.	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers  Maximum score 4	a) Evidence that the District Production Coordinator has;  Taken corrective actions: Score 1 or else 0	There was no evidence that the District Production Coordinator had taken corrective actions.	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>There was evidence that training activities were conducted in accordance to the training plans at District level: In a farmer training report dated 05/07/2021 with participants in the training including extension staff, farmers and farm workers was seen. The specific objective of the training was “to train and equip skills and knowledge of the host farmers the operation and maintenance of the installed irrigation equipment”. Another training report prepared by the senior agricultural engineer dated 14/09/2021 for the activity “Training of extension staff on the implementation of modalities of micro-scale irrigation program ” was seen. Furthermore, an attendance register with 16 participants dated 03/09/2021 for the activity “training of extension staff on implementation modalities of micro-scale irrigation program” was seen.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>There was evidence that training activities conducted were documented in the training database. The production training Database seen for the FY 2020/2021 indicated that staff were trained on micro-scale irrigation program for modules 1 to 6 while training on conducting Farmer Field Schools was yet to be done.</p>	1

**Management, Monitoring and Supervision of Services.**

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>There was evidence that the LG has appropriately allocated the micro scale irrigation grant in the first year of implementation</p> <p>Guideline No.3 on Page 75 (Budgeting For Small Scale Irrigation Projects) of the Guidelines for Irrigation Grants allows 100% of the grant to be allocated to complementary services in the first/pilot year of implementation; these are further illustrated on Page 97 of the guidelines</p> <p>The district budgeted for UGX 51,495,000 in 2020/21 for irrigation projects (Pg. 19 of the budget) which was all spent on complementary services of the pilot projects</p>	2
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>There was evidence that the LG has appropriately allocated the micro scale irrigation grant in the first year of implementation</p> <p>Guideline No.3 on Page 75 (Budgeting For Small Scale Irrigation Projects) of the Guidelines for Irrigation Grants allows 100% of the grant to be allocated to complementary services in the first/pilot year of implementation; these are further illustrated on Page 97 of the guidelines</p> <p>The district budgeted for UGX 51,495,000 in 2020/21 for irrigation projects (Pg. 19 of the budget) which was all spent on complementary servoces of the pilot projects</p> <p>In FY 2020.2021 the district received UGX 51,495,000</p> <p>All of it was allocated to complimentary services (eg arising awareness amongst local leaders, farmers and setting up irrigation demonstration sites</p> <p>(other than 25:75%)</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that the co-funding obligation was fulfilled.</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was no evidence that the LG used any funds from farmer co-funding collections since no co-funding was made</p>	0
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was no evidence that the LG has disseminated information on use of the farmer co-funding resources.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>	<p>There was evidence that the DPO monitored the installed micro-scale irrigation equipment. In a monitoring report prepared by the Nandaula Allen Matovu, the DPO Lwengo LG dated 28/07/2021 titled "irrigation demo sites inspection report" seen. The objectives were to: 1) to check the status of the demo sites and 2) to lay a strategy of commissioning and operationalization of the demos. In the visitor's books in the custody of the host farmers of the demo sites seen on 09/11/2021, during the site visits of the demos, there was further evidence that the DPO visited these sites.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>There was evidence that Lwengo LG had overseen technical training &amp; support to the Approved Farmer. In a report titled "farmer training report" dated 05/07/2021 prepared by the senior agricultural engineer, it was indicated that the beneficiary farmers were trained on: operating the fuel pump and generator, changing engine oil, switching on and off the pump, irrigation scheduling among others.</p>	2

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>There was evidence that Lwengo LG had provided hands-on support to the LLG extension officers. For example, two extension staff from two sub-counties of Ndagwe and Kkindo where the demo sites were installed were trained according to a report titled "farmer training report" dated 05/07/2021 prepared by the senior agricultural engineer. The report indicated that these LLG extension staff were present as the irrigation demo beneficiary farmers were being offered hands-on training. Hence the LLG extension officers were trained on: operating the fuel pump and generator, changing engine oil, switching on and off the pump, irrigation scheduling among others.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that farmer field schools had been established yet in Lwengo LG, but: two (2) micro-scale irrigation demonstration sites were established in the previous FY.</p>	0
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>There was evidence that Lwengo LG had conducted activities to mobilize farmers. This was evident in a sensitization report dated 26/10/2021 titled "a report on sensitization of farmers in Kkingo Sub-county on micro-scale irrigation program for expression of interest". Further evidence was seen in attendance sheets dated: 12/10/2020, 16/09/2021, 6/10/2021, 27/09/2021 for the activity "mobilization and sensitization of farmers in micro-scale irrigation program" were seen. Additionally, field-based photos of farmer sensitization from the Senior Agricultural Engineer were seen.</p>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>There was evidence that the District had trained staff and political leaders at District and LLG levels. Training report minutes on "awareness creation meeting for UGIFT- micro-scale irrigation" indicated that the political leaders, the district leaders, and LLGs were sensitized. Further evidence was seen in attendance lists dated 17/09/2020 on the activity "awareness creation meeting for UGIFT- micro-scale irrigation" that sensitized political leaders at the district and LLG levels. There were a total of 41 participants.</p>	2

## Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>There was evidence that Lwengo LG had an updated register of micro-scale irrigation equipment supplied to farmers. A hardbound cover register of micro-scale irrigation showing an inventory of equipment from May 2021 to June 2021 was seen. Among the equipment listed in the register were: Surface petrol pump (1 unit), Surface solar pump (1 unit), Rain gun (1 unit), Solar panel, HDPE pipe (100 m) and fittings, 2" 60m flexible pipe, 30 m delivery pipe, 250 distribution HDPE pipe, Min-sprinklers and fittings.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>There was evidence that Lwengo LG had an up-to-date database of applications. In the file seen at the DPOs office, there were eight filed copies of applications and 86 expressions of interest by the time of the assessment.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>There was evidence that Lwengo LG had carried out farm visits to farmers that submitted complete Expressions of Interest (EOI). There was a farm visit report prepared by the VCO Mweisgwa Cyrus dated 23/10/2021 and titled "submission of a report on-farm visits to farmers under micro-scape irrigation program" with the objective to 1) conduct farm visits, 2) asses the sites eligibility and determine suitable technology and 30 carry out spot supervision on program implementation. Additionally, there was evidence of farm visits in a farm visit accountability report dated 23/03/2021 titled "Accountability report for six hundred thousand shillings 600,000 / advanced to me to conduct farm visits in KKingo sub-county" prepared by the Nyamijumbi Joy, Agricultural officer KKingo sub-county. Also, there was a database of agreements to proceed for quotation.</p>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they had been approved by posting on the District and LLG noticeboards. approved projects were displayed on notice boards of the 3 sampled LLGs accordingly. For instance Lwengo S/C had displayed 4 projects that were executed in FY 2020/2021, which included Procurement and supply of 42, 3-seater desks, costing UGX 7,800,000.</p>	2

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was evidence that micro-scale irrigation systems were included in the current FY Plan.</p> <p>Water Procurement Plan submitted and received by PDU on 10th May, 2021.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was evidence that the LG requested for quotation from irrigation equipment suppliers</p> <p>The list of Prequalified suppliers was found to be dated 5th October 2020.</p> <p>A Quotation supplied to the LG from pre-qualified supplier FIRM BIZ CONSULTS LIMITED and Quotations were submitted on the 23rd April 2021.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>There was evidence in the Minutes of Contracts committee in the selection of the irrigation equipment suppliers dated 25th February, 2021 based on the lowest evaluated bidder criteria. The offer was UGX 19,998,720.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There was evidence in terms of Approval of micro scale irrigation projects by contracts committee signed on 17th February, 2021 under minute 00065/DCC/20-21(a)</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>There was evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier known as FIRM BIZ CONSULTS LIMITED for a sum of UGX 19,998,720 in the presence of a Ms Namatovu Recheal a Farmer in Lwengo district. on the 24th May 2021</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	<p>There was evidence that the micro-scale irrigation equipment installed were in line with the design output sheet. This was confirmed through site/field visits conducted on 09/11/2021 to the two (2) demonstration sites where the micro-scale irrigation equipment were installed.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>There was evidence that Lwengo LG had conducted regular technical supervision of micro-scale irrigation projects. From the site books and visitors' books seen at the host farmers, the senior agricultural engineer regularly visited the sites to carry out supervision and to offer any required technical assistance as seen in the reasons given against his name and signature.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>There was evidence that Lwengo LG had overseen the irrigation equipment supplier during testing the functionality of the installed equipment. A report titled "farmer training report" dated 05/07/2021 prepared by the senior agricultural engineer indicated that the beneficiary farmers were trained on: operating the fuel pump and generator, changing engine oil, switching on and off the pump, irrigation scheduling among others. Additionally, from the host farmer's visitor's books seen during the field visit on 09/11/2021, there was evidence that the responsible staff namely: the district agricultural engineer, the DPO, the extension officers oversaw the establishment of the demos sites.</p>	1

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	There was evidence that Lwengo LG had overseen the hand-over of the equipment to the Approved Farmer. A report titled "farmer training report" dated 05/07/2021 prepared by the senior agricultural engineer indicated that the beneficiary farmers received the equipment after testing for functionality and further trained during hand-over on operating the fuel pump and generator, changing engine oil, switching on and off the pump, irrigation scheduling among others. Furthermore, the host farmer's visitor's books seen during the field visit on 09/11/2021, there was evidence that the responsible staff namely: the district agricultural engineer, the DPO, the extension officers oversaw the handover of the completed demos sites.	1
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	<p>There was evidence that the Local Government had made payment of the supplier within specified time frames subject to the presence of the Approved farmer's signed acceptance form as follows:</p> <p>Project: Supply and Installation of 2 Demonstration Irrigation in Ndabwe Sub County</p> <p>Contractor Requisition: FIRM BIZ CONSULTS LTD request was on 13th April 2021 amounting to UGX 19,998,720 signed by CAO, CFO and CEO (KIGANJU IRRIGATION SITE). Certified payment with the Farmer's acceptance form dated 28th April 2021 was made and it amounted to UGX 17,858,857. This lies within the required 2 months period for payment.</p> <p>Contractor Requesting: FIRM BIZ CONSULTS LTD request was on 10th June 2021 amounting to UGX 27,999,900 signed by CAO, CFO and CEO (KABWAMWI IRRIGATION SITE). Certified Payment with the farmer's acceptance form dated 23rd June 2021 was made and it amounted to UGX 25,394,014. This lies within the 2 month payment period.</p>	2
	Maximum score 18			
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	<p>There was evidence that the LG had a complete procurement file for the Supply and Installation of 2 demonstration Irrigations in Ndabwe Sub County. REF NO: LWEN 599/WRKS/20-21/000026 (the only one in the district) as shown below:</p> <p>1 Works contract was signed on 5th May 2021;</p> <p>2 Evaluation report, form 46 was signed on 15th February 2021; and</p> <p>3 Contracts committee approved on 17th February 2021 under minute 00065/DCC/20-21(a).</p> <p>The Engineer's Estimate was UGX 21,982,000 and the total contract sum was UGX: 19,998,720.</p>	2
	Maximum score 18			

**Environment and Social Safeguards**

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>There was evidence that Lwengo LG Production department had displayed details of avenues to address grievances. At the Production Department, there was a banner Captioned “Ugift Microscale irrigation program” that contained information about Ugift micro-scale irrigation program such as: How to become a beneficiary and contact information for the Production department to reach them for any information or even grievance.</p>	2
	<p>Maximum score 6</p>			
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There WAS Evidence that Lwengo DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as records of micro-scale irrigation sector related grievances/complaints were found in LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18. Micro-scale irrigation sector grievances included a case where one resident (Mwesigwa Cylus) complained about high cost of water reservoir that is necessary for installation of irrigation systems; the case was referred to Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) with a request to amend the project guidelines (Case Reference Number: LDCR/2021/11 dated 04/02/2021). Another case concerned Honorable Bukenya Joseph, who complained about high cost of farmer contribution that is required under micro-scale irrigation projects, the case was referred to MAAIF with a request to increase on government subsidy funds (Case Reference Number: LDCR/2021/15 dated 10/04/2021). Yet another case concerned Lubega Isma of Kisekka Sub-county, who complained about completed works and failure to compensate the community at Kyanseko Irrigation Scheme, the hired Community Facilitator was engaging the locals to accept the passing of water pipes in their land without compensation by the time the Assessor visited the LG (Case Reference Number: LDCR/2021/17 dated 27/04/2021).</p>	1
	<p>Maximum score 6</p>			



<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There WAS Evidence that Lwengo DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as records of micro-scale irrigation sector related grievances/complaints were found in LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18. Micro-scale irrigation sector grievances included a case where one resident (Mwesigwa Cylus) complained about high cost of water reservoir that is necessary for installation of irrigation systems; the case was referred to Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) with a request to amend the project guidelines (Case Reference Number: LDCR/2021/11 dated 04/02/2021). Another case concerned Honorable Bukenya Joseph, who complained about high cost of farmer contribution that is required under micro-scale irrigation projects, the case was referred to MAAIF with a request to increase on government subsidy funds (Case Reference Number: LDCR/2021/15 dated 10/04/2021). Yet another case concerned Lubega Isma of Kisekka Sub-county, who complained about completed works and failure to compensate the community at Kyanseko Irrigation Scheme, the hired Community Facilitator was engaging the locals to accept the passing of water pipes in their land without compensation by the time the Assessor visited the LG (Case Reference Number: LDCR/2021/17 dated 27/04/2021).</p>
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<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There WAS Evidence that Lwengo DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as records of micro-scale irrigation sector related grievances/complaints were found in LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18. Micro-scale irrigation sector grievances included a case where one resident (Mwesigwa Cylus) complained about high cost of water reservoir that is necessary for installation of irrigation systems; the case was referred to Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) with a request to amend the project guidelines (Case Reference Number: LDCR/2021/11 dated 04/02/2021). Another case concerned Honorable Bukenya Joseph, who complained about high cost of farmer contribution that is required under micro-scale irrigation projects, the case was referred to MAAIF with a request to increase on government subsidy funds (Case Reference Number: LDCR/2021/15 dated 10/04/2021). Yet another case concerned Lubega Isma of Kisekka Sub-county, who complained about completed works and failure to compensate the community at Kyanseko Irrigation Scheme, the hired Community Facilitator was engaging the locals to accept the passing of water pipes in their land without compensation by the time the Assessor visited the LG (Case Reference Number: LDCR/2021/17 dated 27/04/2021).</p>
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<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There WAS Evidence that Lwengo DLG micro-scale irrigation sector related grievances had been recorded, investigated, responded to, and reported on by District Production Officer in liaison with designated Grievance Redress Officer and District Grievance Redress Committee, and in line with the LG grievance redress framework as records of micro-scale irrigation sector related grievances/complaints were found in LG Complaints Log/Complaints Record Book with case reference numbers, dates cases were recorded, names of clients, details of respective cases and respective actions taken. Starting entry in the Complaints Register was dated 06/04/2016 and referenced LDCR/2016/001. Last entry in the Complaints Register was dated 04/05/2021 and referenced LDCR/2021/18. Micro-scale irrigation sector grievances included a case where one resident (Mwesigwa Cylus) complained about high cost of water reservoir that is necessary for installation of irrigation systems; the case was referred to Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) with a request to amend the project guidelines (Case Reference Number: LDCR/2021/11 dated 04/02/2021). Another case concerned Honorable Bukenya Joseph, who complained about high cost of farmer contribution that is required under micro-scale irrigation projects, the case was referred to MAAIF with a request to increase on government subsidy funds (Case Reference Number: LDCR/2021/15 dated 10/04/2021). Yet another case concerned Lubega Isma of Kisekka Sub-county, who complained about completed works and failure to compensate the community at Kyanseko Irrigation Scheme, the hired Community Facilitator was engaging the locals to accept the passing of water pipes in their land without compensation by the time the Assessor visited the LG (Case Reference Number: LDCR/2021/17 dated 27/04/2021).</p>
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### Environment and Social Requirements

<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>There was evidence that Lwengo LG had disseminated Micro-irrigation guidelines to provide for proper siting, land access. A copy of the sector guidelines in the DPO office plus the accompanying brochures which were disseminated in all the awareness meetings on micro-scale irrigation conducted were seen. Additionally, there were MoUs between Lwengo LG and farmers such as the one of Namatovu Rachel of Kabwami village, Nkoni parish, Kkingo sub-county made on 18/05/2021. Finally, there was a report dated 23/11/2021 titled "activity report on the screening of projects-production department" signed by the environment officer Mutemba Godfrey that addressed several environmental issues.</p>
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15	Safeguards in the delivery of investments  Maximum score 6	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>There was NO Evidence that Lwengo DLG had Costed ESMPs incorporated into designs, BoQs, bidding and contractual documents for micro-scale irrigation sector projects as Costed ESMPs were ABSENT in designs, BoQs, bidding and contractual documents for micro-scale irrigation sector projects that the LG provided to the Assessor.</p>	0
15	Safeguards in the delivery of investments  Maximum score 6	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality &amp; quantity), efficiency of system in terms of water conservation, use of agro-chemicals &amp; management of resultant chemical waste containers score 1 or else 0</p>	<p>There WAS Evidence that Lwengo DLG had carried out compliance monitoring for mitigation of irrigation impacts for micro-scale irrigation sector projects as exemplified by the following Environmental and Social Safeguards requirements compliance monitoring reports that were signed by LG Environmental Officer and CDO:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG Department of Natural Resources and Environment presented Compliance Monitoring Report for Irrigation Demonstration Sites for 2020/2021 FY, Dated 08th/07/2021, Addressed to CAO, Signed by Senior Environmental Officer - Mutemba Godfrey, with Copies to: District Chairperson, Resident District Commissioner, Secretary Production and Natural Resources, File.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Installation of Demonstration Irrigation System at Kisansala Village in Kkingo Sub-county, Dated 10/June/2021; Addressed to CAO, Through: District Water Officer, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Environmental and Social Joint Inspection Report for Installation of Demonstration Irrigation System at Kiganju Village in Ndagwe Sub-county, Dated 27/April/2021; Addressed to CAO, Through: District Water Officer, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.</li> </ul>	1

15	Safeguards in the delivery of investments  Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>There WAS Evidence that Lwengo DLG had Environmental and Social Certification Forms (ESCFs) completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of micro-scale irrigation sector projects as exemplified by the following projects:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/02/06/2021 for Installation of Demonstration Irrigation System at Kisansala Village in Kkingo Sub-county, Dated 10/June/2021, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/02/06/2021 for Installation of Demonstration Irrigation System at Kiganju Village in Ndagwe Sub-county, Dated 27/April/2021, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.</li> </ul>	1
15	Safeguards in the delivery of investments  Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	<p>There WAS Evidence that Lwengo DLG had Environmental and Social Certification Forms (ESCFs) completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of micro-scale irrigation sector projects as exemplified by the following projects:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/02/06/2021 for Installation of Demonstration Irrigation System at Kisansala Village in Kkingo Sub-county, Dated 10/June/2021, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Interim Certification No. NRC/02/06/2021 for Installation of Demonstration Irrigation System at Kiganju Village in Ndagwe Sub-county, Dated 27/April/2021, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph.</li> </ul>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The position of Senior Agricultural Engineer was substantively filled by Kanshangeho Bailon, under DSC minute 14/2021, dated 10/06/2021.</p>	70
<b>Environment and Social Requirements</b>				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening</p> <p>score 30 or else 0.</p>	<p>There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening and prepared Costed ESMPs, where required, prior to commencement of all civil works for all micro-scale irrigation sector infrastructure projects as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following micro-scale irrigation sector infrastructure projects:</p> <ul style="list-style-type: none"> <li>- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Supply and Installation of a Mini Sprinkler Irrigation System for Irrigation Demonstration Farm at Kiganju Village in Ndagwe Sub-county under UgIFT Funding (LWEN/599/WRKS/2020-2021/00026), Dated 13/01/2021, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Supply and Installation of a Mini Sprinkler Irrigation System for Irrigation Demonstration Farm at Kisansala Village in Kkingo Sub-county under UgIFT Funding (LWEN/599/WRKS/2020-2021/00026), Dated 18/05/2021, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.</li> <li>- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Supply and Installation of a Mini Sprinkler Irrigation System for Irrigation Demonstration Farm at Kiganju Village in Ndagwe Sub-county under UgIFT Funding (LWEN/599/WRKS/2020-2021/00026), Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, with 1,200,000 UGX as cost for environmental and social mitigation measures.</li> </ul>	30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The position of Civil Engineer (Water) was substantively filled by Lugalambi Frerio under DSC minute 16/2017, dated 23/06/2017.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The position of Assistant Water Officer for mobilization, was substantively filled by Bukenya Justine Naiga, who was seconded by CAO's Letter dated 30/06/2017.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The position of Borehole Maintenance Technician was vacant.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The position of Forestry Officer was vacant.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The position of Environment Officer was substantively filled by Namuleme Mary under DSC minute 05/2020, dated 09/03/2020.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The position of Forestry Officer was vacant.	0

**Environment and Social Requirements**

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all water sector infrastructure projects for the previous FY (2020/2021 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) for the following water sector infrastructure projects:

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Rain Water Harvesting 50 M3 Capacity Underground Brick Masonry Water Tank at Nyantungo P/S in Malongo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00016), Dated 14/08/2020; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Drilling and Installation of One (1) Borehole at Kikenene Village in Kisekka Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00007), Dated 29/07/2020; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Drilling and Installation of One (1) Borehole at Nakawanga Village in Kkingo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00007), Dated 29/07/2020; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of Rain Water Harvesting Brick Masonry Water Tanks at Nyantungo P/S in Malongo Sub-county (LWEN/599/WRKS/2020-2021/00016) and Kyanjovu P/S (Nakitokolo) in Nkunyuu Parish, Lwengo Sub-county (LWEN/599/WRKS/2020-2021/00015) under DWSCG Funding; Signed by Senior Environmental Officer - Mutemba Godfrey, Signed by District Community Development Officer - Mazinga Joseph, with 1,550,000 UGX as cost for environmental and social mitigation measures.



Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all water sector projects for the previous financial year (2020/2021 FY) whose conclusions and recommendations did not require the LG to conduct Environmental and Social Impact Assessments (ESIAs) or any further environmental assessments as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following water sector projects:

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Rain Water Harvesting 50 M3 Capacity Underground Masonry Water Tank at Nyantungo P/S in Malongo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00016), Dated 14/08/2020; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Drilling and Installation of One (1) Borehole at Kikenene Village in Kisekka Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00007), Dated 29/07/2020; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Drilling and Installation of One (1) Borehole at Nakawanga Village in Kkingo Sub-county under DWSCG Funding (LWEN/599/WRKS/2020-2021/00007), Dated 29/07/2020; Signed by Senior Environmental Officer - Mutemba Godfrey; with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of Rain Water Harvesting Brick Masonry Water Tanks at Nyantungo P/S in Malongo Sub-county (LWEN/599/WRKS/2020-2021/00016) and Kyanjovu P/S (Nakitokolo) in Nkunyuu Parish, Lwengo Sub-county (LWEN/599/WRKS/2020-2021/00015) under DWSCG Funding; Signed by Senior Environmental Officer - Mutemba Godfrey, Signed by District Community Development Officer - Mazinga Joseph, with 1,550,000 UGX as cost for environmental and social mitigation measures.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

There was no evidence that the LG got abstraction permits for all piped water systems issued by DWRM.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>The position of District Health Officer was substantively filled by Dr. Kafumbe Moses under DSC minute 05/2020, dated 09/03/2020.</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>The position of Assistant District Health Officer, Maternal, Child Health and Nursing, was substantively filled by Nakityo Consitacio under DSC minute 15/2021 (LDSC) (b), dated 10/06/2021.</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>The position of Assistant District Health Officer, Environmental health was vacant.</p>	<b>0</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>The position of Senior Environment Officer, was substantively filled by Mugabi David under DSC minute 29/2017 (LDSC) (2), dated 10/08/2017.</p>	<b>10</b>

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The position of Senior Health Educator, was substantively filled by Nasozi Deborah Kakooza under DSC minute 49/2017 (LDSC), dated 04/01/2018.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The position of Biostatistician, was substantively filled by Nakawoya Joanitah, under DSC minute 07/2016 (LDSC) (i), dated 05/05/2016.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The position of District Cold Chain, was substantively filled by Okello Alfred under DSC minute 42/2019 (LDSC) (1), dated 09/12/2019.</p>	10
1	<p>New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		

1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

i. Principal Health Inspector, score 20 or else 0.

*Applicable to MCs only.*

*Maximum score is 70*

1 New\_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

j. Health Educator, score 20 or else 0

*Applicable to MCs only.*

*Maximum score is 70*

## Environment and Social Requirements

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:  
a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all health sector projects for the previous financial year (2020/2021 FY) as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Renovation of Maternity Ward at Kyazanga HC IV, Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Renovation of Theatre at Kiwangala HC IV in Kisekka Sub-county under PHC Funding (LWEN/599/WRKS/2021-2022/00009), Dated 16/08/2021, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of Stone Masonry Wall at Kakoma HC III in Kyazanga Sub-county under DDEG Funding (LWEN/599/WRKS/2020-2021/00019), Dated 30/11/2020, Signed by Senior Environmental Officer -

Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 300,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 1,300,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Renovation of Maternity Ward and Construction of Walkway at Kyazanga HC IV under PHC Funding, Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 800,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Renovation of Maternity Ward at Kiwangala HC IV under PHC Funding (LWEN/599/WRKS/2021-2022/00009), Dated 13/10/2021, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 800,000 UGX as cost for environmental and social mitigation measures.

- Renovation of Maternity Ward at Kiwangala HC IV under PHC Funding (LWEN/599/WRKS/2021-2022/00009). Costed ESMP was indicated in the Bid Document in the Bill of Quantities in Element No. 9 under Section A with UGX 15,000 as cost for environmental and social mitigation measures, Signed by the Contractor - Karobs Enterprises Limited, Signed and Stamped by Chairperson Contracts Committee Lwengo DLG on 08/October/2021.

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs), score 15 or else 0.

There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all health sector projects for the previous financial year (2020/2021 FY) whose conclusions and recommendations did not require the LG to conduct Environmental and Social Impact Assessments (ESIAs) or any further environmental assessments as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following health sector projects:

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Fencing of Lwengo HC IV

15

under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Renovation of Maternity Ward at Kyazanga HC IV, Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented a Completed Environmental and Social Screening Form for Renovation of Theatre at Kiwangala HC IV in Kisekka Sub-county under PHC Funding (LWEN/599/WRKS/2021-2022/00009), Dated 16/08/2021, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of Stone Masonry Wall at Kakoma HC III in Kyazanga Sub-county under DDEG Funding (LWEN/599/WRKS/2020-2021/00019), Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 300,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Fencing of Lwengo HC IV under PHC Funding (LWEN/599/WRKS/2020-2021/00020), Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 1,300,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Renovation of Maternity Ward and Construction of Walkway at Kyazanga HC IV under PHC Funding, Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 800,000 UGX as cost for environmental and social mitigation measures.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Renovation of Maternity Ward at Kiwangala HC IV under PHC Funding (LWEN/599/WRKS/2021-2022/00009), Dated 13/10/2021, Signed and Stamped by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 800,000 UGX as cost for environmental and social mitigation measures.

- Renovation of Maternity Ward at Kiwangala HC IV under PHC Funding (LWEN/599/WRKS/2021-

2022/00009). Costed ESMP was indicated in the Bid Document in the Bill of Quantities in Element No. 9 under Section A with UGX 15,000 as cost for environmental and social mitigation measures, Signed by the Contractor - Karobs Enterprises Limited, Signed and Stamped by Chairperson Contracts Committee Lwengo DLG on 08/October/2021.



No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The position of District Education Officer was substantively filled by Ankunda Doreen under DSC minute 15/04/2015, dated 12/05/2015.	30
<i>The Maximum Score of 70</i>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The position of District Inspector of Schools was substantively filled by Mulumba Joseph under DSC minute DSC/Min 50/June/2011, dated 28/09/2011, and Mulumba Sumayyah under DSC minute 07/11/2015 (LDSC)(C). The structure provided for 2 Inspector of Schools, and were dully filled.	40
<i>The Maximum Score of 70</i>				

**Environment and Social Requirements**

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all education sector projects for the previous financial year (2020/2021 FY) as exemplified by completed Environmental and Social Screening Forms (ESSFs) for the following education sector projects:

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Two (2) Classroom Block with Office and Store at Bijaaba (A) Cope P/S in Kyazanga Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00002), Dated 24/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Two (2) Classroom Block with Office and Store at Kalagala Cope P/S in Malongo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00001), Dated 24/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Two (2) Classroom Block and Supply of 36-Three Sitter Desks at Nakalinsi P/S in Lwengo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00003), Dated 24/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of 5-Stance Lined Pit Latrine at St. Atanansi Nakatete P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00010), Dated 25/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of 5-Stance Lined Pit Latrine at Kanyogoga P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00009), Dated 25/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out:

If the LG carried out:

b. Social Impact Assessments (ESIAs), score 15 or else 0.

There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all education sector projects for the previous financial year (2020/2021 FY) whose conclusions and recommendations did not

Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

require the LG to conduct Environmental and Social Impact Assessments (ESIAs) or any further environmental assessments as exemplified by completed Environmental and Social Screening Forms (ESSFs) and Costed ESMPs for the following education sector projects:

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Two (2) Classroom Block with Office and Store at Bijaaba (A) Cope P/S in Kyazanga Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00002), Dated 24/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation. Costed ESMP totaled UGX 1,500,000 and was signed by Senior Environmental Officer and Community Development Officer on 05/January/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Two (2) Classroom Block with Office and Store at Kalagala Cope P/S in Malongo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00001), Dated 24/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation. Costed ESMP totaled UGX 1,000,000 and was signed by Senior Environmental Officer and Community Development Officer on 05/January/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Two (2) Classroom Block and Supply of 36-Three Sitter Desks at Nakalinzi P/S in Lwengo Sub county under SFG Funding (LWEN/599/WRKS/2020-2021/00003), Dated 24/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation. Costed ESMP totaled UGX 2,100,000 and was signed by Senior Environmental Officer and Community Development Officer on 05/January/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of 5-Stance Lined Pit Latrine at St. Atanansi Nakatete P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00010), Dated 25/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation. Costed ESMP totaled UGX 900,000 and was signed by Senior Environmental Officer and Community Development Officer on 05/January/2021.

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of 5-Stance Lined Pit Latrine at Kanyogoga P/S in Lwengo Sub-county under SFG Funding (LWEN/599/WRKS/2020-2021/00009), Dated 25/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation. Costed ESMP totaled UGX 900,000

and was signed by Senior Environmental Officer and  
Community Development Officer on 05/January/2021.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The Chief Finance Officer, Mr Sserwadda Paul was promoted from Principal Internal Auditor to Chief Finance Officer under minute 46/2017, according to the Chief Administrative letter dated 04/01/2018.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	Mr. Barigye Nicholas was promoted from Senior Planner to District Planner; under DSC minute 44/2019 according to Chief Administrative Officer's letter dated 9th December 2019.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of District Engineer was vacant.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The position of District Natural Resources Officer was vacant.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position of District Production Officer was vacant.	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	Mr. Mazinga Joseph was promoted from Senior Community Development Officer under DSC minute 47/2017, according to the Chief Administrative Officer's letter dated 4th January 2018.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	Kagumire Wilson was promoted from Principal Commercial Officer under minute 44/2019 as per the Chief Administrative Officer's letter dated 9th December 2019.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	Mr. Baine Frank was appointed under minute Min.29/2019 as per the Chief Administrative Officer's letter dated 10th August 2017.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	Mr. Busingye Oscar was appointed under DSC minute 40/2018 according to the Chief Administrative Officer's letter dated 5th November 2018.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	Ms Florence Namaganda was promoted from Senior Assistant Secretary under DSC minute 01/18 as per the Chief Administrative Officer's letter dated 6th April 2016.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	Mr. Mutemba Godfrey was appointed on probation under DSC minute 4/8-9/2014 as per the Chief Administrative Officer's letter dated 20th October 2014.	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>Ms Seguya Jacqueline was appointed on probation under DSC minute 12/JUNE/2013 as per the Chief Administrative Officer's letter dated 26th June 2013.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>Ms Asaba Gladys Akiiki under minute 31/2020 according to the Chief Administrative Officer's letter dated 8th January 2021.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>Mr. Atukwase Bernard was appointed Principal Internal Auditor under DSC minute 46/2017 as per Chief Administrative Officer dated 4th January 2018.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Mr. Kakembo Abdulgafar was appointed under minute 13/2013 as per Chief Administrative Officer dated 17th February 2014.</p>	2

2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).</p>	<p>Lwengo District Local Government had 6 Sub-Counties (S/C) and 4 Town Councils (T/C). Kyazanga and Lwengo T/Cs had a Principal Township Officer (PTO) substantively recruited, while Kinoni and Katovu T/Cs did not. Kyazanga, Lwengo, Kisekka and Ndagwe S/Cs had a Senior Assistant Secretary (SAS) while Malongo and Kingo S/C lacked a SAS. The PTO for Kyazanga was Mr. Nfitumukiza Muhammad, appointed on 09/12/2019, DSC minute 44/2019 and Ddamulila Suza was the SAS for Kisekka S/C, appointed on 28/06/2019, under DSC minute15/2019.</p> <p>Ms Shabillah was appointed SAS for Lwengo S/C under DSC minute 28/JANUARY/2012 dated 16/02/2012.</p> <p>Mr. Mutebi Fredrick appoindeed SAS for Ndagwe S/C under DSC minute25/JULY/2007 dated 25/07/2007.</p> <p>Mr. Nyanzi Sendagala John was appointed SAS for Kyazanga S/C under DSC minute15/2019 dated 28/06/2019.</p>	0
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.</p>	<p>All the 6 Sub-Counties did not have a Community Development Officer (CDO) in place. Kyazanga and Katovu T/Cs, had one SCDO called Kiggundu Abdusalam, appointed on 28/11/2019 under DSC minute 11/2019. Ms Ampire Diana was the CDO for Kisekka S/C, appointed on 04/01/2018 under DSC minute 38/2018 while Kasule Denis was the SCDO for Lwengo T/C, appointed on 06/04/2018 under DSC minute 1/2018.</p>	0
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.</p>	<p>There was evidence that the position of Senior Accounts Assistant (SAA) /an Accounts Assistant (AA) was filled in all LLGS. For instance Bamweyana Moses was a SAA for Kisekka S/C, appointed on 28/09/2011, under DSC minute 50/JUNE/2011. Semakula Kevin was SAA for Lwengo T/C, appointed on 12/07/2020, DSC minute 24/2020.</p>	5

**Environment and Social Requirements**



3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	There was no evidence that the district disbursed 100% of the funds allocated for implementing of environmental impact assessments 2020/2021 (Page 24 of Q-4 Performance Report)	0
	Maximum score is 4	a. Natural Resources department,  score 2 or else 0	The Total Budget was UGX. 225,474,000 out of which only UGX 182,162,000 (81%) was disbursed to the department	
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to:	There was no evidence that the district disbursed 100% of the funds allocated for implementing social safeguards in 2020/2021 (Page 26 of Q-4 Performance Report)	0
	Maximum score is 4	b. Community Based Services department.  score 2 or else 0.	The Total Budget was UGX. 587,608,000 out of which only UGX 511,073,000 (87%) was disbursed to the department	
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening,  score 4 or else 0	There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG) for the previous FY (2020-2021 FY) as exemplified by the following projects:  - Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Stone Masonry Wall at Kakoma HC III in Kyazanga Sub-county under DDEG Funding (LWEN/599/WRKS/2020-2021/00019), Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.	4
	Maximum score is 12			

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

There WAS Evidence that Lwengo DLG carried out Environmental, Social and Climate Change Screening and Environmental and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG) for the previous FY (2020-2021 FY) as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented Completed Environmental and Social Screening Form for Construction of Stone Masonry Wall at Kakoma HC III in Kyazanga Sub-county under DDEG Funding (LWEN/599/WRKS/2020-2021/00019), Dated 21/07/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, with ESMP recommendation.

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of Stone Masonry Wall at Kakoma HC III in Kyazanga Sub-county under DDEG Funding (LWEN/599/WRKS/2020-2021/00019), Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 300,000 UGX as cost for environmental and social mitigation measures.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;

score 4 or 0

There WAS Evidence that Lwengo DLG had Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG) prior to commencement of all civil works for the previous FY (2020-2021 FY) as exemplified by the following projects:

- Lwengo DLG Department of Natural Resources and Environment presented Costed ESMP for Construction of Stone Masonry Wall at Kakoma HC III in Kyazanga Sub-county under DDEG Funding (LWEN/599/WRKS/2020-2021/00019), Dated 30/11/2020, Signed by Senior Environmental Officer - Mutemba Godfrey, Signed and Stamped by District Community Development Officer - Mazinga Joseph, with 300,000 UGX as cost for environmental and social mitigation measures.

## Financial management and reporting

6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	The LG had provided information to the PSST on the status of implementation of previous findings of the Internal Auditor General and recommendations before February 2022 as shown below but not that of the Auditor General's findings:  Report dated 16th Sep. 2021 signed by the DIA (Mr. Atukwase Bernad), was submitted to IAG on 1st Oct. 2021.	0
	maximum score is 10	score 10 or else 0.		
7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY	If the LG has submitted an annual performance contract by August 31st of the current FY,	There was evidence that LG had submitted an annual performance contract by August 31st of the current FY. A forwarding letter for (Performance Form-B) for the current FY Ref. CR/D/218/2 dated 30th June 2021 signed by The CAO (Mr. Muramira Aggrey) was submitted to MoFPED on 2nd Aug. 2021.	4
	Maximum Score 4	score 4 or else 0.		
8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,	There was evidence that the LG submitted the Annual 4th Quarter Performance Report for the FY 2020/2021 to MOFPED before August 31st of the current FY. It was submitted on 30th Aug. 2021 as shown on the forwarding letter signed by Mrs. Nabakooza Hasfah (Ag. CAO) dated 27th Aug. 2021.	4
	maximum score 4 or else 0	score 4 or else 0.		

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

There was evidence that the LG submitted all the four Quarterly Budget Performance Reports (QBPRs) of the previous FY by August 31st 2021 as shown below:

Q-1 report (Ref. CR/D/218/1) dated 20th Nov. 2020 was delivered to MoFPED on 7th Dec. 2020 ;

Q-2 report was (Ref. CR/D/218/1) dated 28th Jan. 2021 was delivered to MoFPED on 21st May 2021;

Q-3 report was (Ref. CR/D/218/1) dated 5th Jun. 2021 was delivered to MoFPED on 14th Jul. 2021; and

Q-4 report was (Ref. CR/D/218/1) dated 26th Aug. 2021 was delivered to MoFPED on 30th Aug. 2021.

All quarterly reports had the same reference number.